For Internal Use Only Sec File No. 9-

Submit 1 Original and 9 Copies

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 19b-4(e)

OMB APPROVAL

OMB Number: 3235-0504

Expires: August 31, 2013 Estimated average burden hostor response.....3.60

Mail Processing Section

NOV 122013

Information Required of a Self-Regulatory Organization Listing and Trading a New Washington Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1964

Part I Initial Listing Report 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: Chicago Stock Exchange 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Trust 3. Class of New Derivative Securities Product: Ownership of the Trust 4. Name of Underlying Instrument: (do X-Trackers Harvest CSI 300 China A-Shares Fund 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: Narrow-Based 6. Ticker Symbol(s) of New Derivative Securities Product: ASHR 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Various 8. Settlement Methodology of New Derivative Securities Product: See Prospectus Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Steven I. Givot Title: Vice President, Strategy and product Management Telephone Number: (312) 663-2261 Manual Signifurs of Official Responsible for Form: November 8, 2013 Act Securities Lecturing Acute of 192 Acute China Particulars of 193 Acute China Particul				704	
1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: Chicago Stock Exchange 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Trust 3. Class of New Derivative Securities Product: Ownership of the Trust 4. Name of Underlying Instrument: db X-Trackers Harvest CSI 300 China A-Shares Fund 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: Narrow-Based 6. Ticker Symbol(s) of New Derivative Securities Product: ASHR 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Various 8. Settlement Methodology of New Derivative Securities Product: See Prospectus 9. Position Limits of New Derivative Securities Product (if applicable): See Prospectus Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Steven I. Givot Title: Vice President, Strategy and product Management Telephone Number: (312) 663-2261 Act Securicies Lachenge Act of 192 Manual Signature of Official Responsible for Form: Scion November 8, 2013		READ ALL INSTRUCTIONS PRIOR TO C	OMPLETING F	OR	
Chicago Stock Exchange Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Trust Class of New Derivative Securities Product: Ownership of the Trust Name of Underlying Instrument: db X-Trackers Harvest CSI 300 China A-Shares Fund If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: Narrow-Based Ticker Symbol(s) of New Derivative Securities Product: ASHR Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Various Settlement Methodology of New Derivative Securities Product: See Prospectus Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Steven I. Givot Title: Vice President, Strategy and product Management Telephone Number: (312) 663-2261 Manual Signature of Official Responsible for Form: November 8, 2013 November 8, 2013	Part I	Initial Listing Report			
Chicago Stock Exchange	1.	Name of Self-Regulatory Organization Listing New Derivative Sec	urities Product:		
Trust Class of New Derivative Securities Product: Ownership of the Trust Name of Underlying Instrument:					
3. Class of New Derivative Securities Product: Ownership of the Trust 4. Name of Underlying Instrument: dib X-Trackers Harvest CSI 300 China A-Shares Fund 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: Narrow-Based 6. Ticker Symbol(s) of New Derivative Securities Product: ASHR 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Various 8. Settlement Methodology of New Derivative Securities Product: See Prospectus 9. Position Limits of New Derivative Securities Product (if applicable): See Prospectus Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Steven I. Givot Title: Vice President, Strategy and product Management Telephone Number: (312) 663-2261 Ast Securities Exchange Act of 192 Manual Signature of Official Rysponsible for Form: Section 1944 Public Politics Polyt 1, 2, 2003	2.	Type of Issuer of New Derivative Securities Product (e.g., clearing	house, broker-dea	ller, corporation, etc.):	
Ownership of the Trust 1. Name of Underlying Instrument:					
4. Name of Underlying Instrument:	3.				
db X-Trackers Harvest CSI 300 China A-Shares Fund					
5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: Narrow-Based 6. Ticker Symbol(s) of New Derivative Securities Product: ASHR 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Various 8. Settlement Methodology of New Derivative Securities Product: See Prospectus 9. Position Limits of New Derivative Securities Product (if applicable): See Prospectus Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Steven I. Givot Title: Vice President, Strategy and product Management Telephone Number: (312) 663-2261 Manual Signature of Official Responsible for Form: Section 1994 Date: November 8, 2013 Public Move 13, 2013	4.	Name of Underlying Instrument:	*		
Narrow-Based 6. Ticker Symbol(s) of New Derivative Securities Product: ASHR 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Various 8. Settlement Methodology of New Derivative Securities Product: See Prospectus 9. Position Limits of New Derivative Securities Product (if applicable): See Prospectus Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Steven I. Givot Title: Vice President, Strategy and product Management Telephone Number: (312) 663-2261 Manual Signature of Official Responsible for Form: Section 1954 Date: November 8, 2013					
6. Ticker Symbol(s) of New Derivative Securities Product: ASHR 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Various 8. Settlement Methodology of New Derivative Securities Product: See Prospectus 9. Position Limits of New Derivative Securities Product (if applicable): See Prospectus Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Steven I. Givot Title: Vice President, Strategy and product Management Telephone Number: (312) 663-2261 Aget Sacurities Exchange Act of 193 Manual Signature of Official Responsible for Form: Section 195-6 Date: November 8, 2013	5.				
ASHR 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Various				·	
Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Various	6.		***************************************		
8. Settlement Methodology of New Derivative Securities Product: See Prospectus			T		
8. Settlement Methodology of New Derivative Securities Product: See Prospectus 9. Position Limits of New Derivative Securities Product (if applicable): See Prospectus Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Steven I. Givot Title: Vice President, Strategy and product Management Telephone Number: (312) 663-2261 Manual Signature of Official Responsible for Form: Section 193-4 Date: November 8, 2013 Public November 8, 2013	7.		Instrument Trade	28:	
See Prospectus		Various			
9. Position Limits of New Derivative Securities Product (if applicable): See Prospectus The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Steven I. Givot Title: Vice President, Strategy and product Management Telephone Number: (312) 663-2261 Manual Signature of Official Responsible for Form: Section 195-4 Date: November 8, 2013	8.				
Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Steven I. Givot Title: Vice President, Strategy and product Management Telephone Number: (312) 663-2261 Manual Signature of Official Responsible for Form: Section 193-4 Date: November 8, 2013	`				
The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Steven I. Givot Title: Vice President, Strategy and product Management Telephone Number: (312) 663-2261 Manual Signature of Official Responsible for Form: Section 195-4 Date: November 8, 2013	9.				
The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Steven I. Givot Title: Vice President, Strategy and product Management Telephone Number: (312) 663-2261 Manual Signature of Official Responsible for Form: Section 195-4 Date: November 8, 2013 Public Nove 13, 2013		See Prospectus			
The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Steven I. Givot Title: Vice President, Strategy and product Management Telephone Number: (312) 663-2261 Manual Signature of Official Responsible for Form: Section 195-4 Date: November 8, 2013 Public Nove 13, 2013	Dant II	Execution			
approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Steven I. Givot Title: Vice President, Strategy and product Management Telephone Number: (312) 663-2261 Manual Signature of Official Responsible for Form: Section 195-4 Date: November 8, 2013	Part II				
Steven I. Givot Title: Vice President, Strategy and product Management Telephone Number: (312) 663-2261 Manual Signature of Official Responsible for Form: Date: November 8, 2013 Public November 8, 2013		approved, or has duly delegated its approval to the undersigned fo derivative securities product according to its relevant trading rules	r, the listing and t	rading of the above-referenced new	
Steven I. Givot Title: Vice President, Strategy and product Management Telephone Number: (312) 663-2261 Manual Signature of Official Responsible for Form: Date: November 8, 2013 Public November 8, 2013	Name	of Official Responsible for Form:			
Vice President, Strategy and product Management Telephone Number: (312) 663-2261 Manual Signature of Official Responsible for Form: Date: November 8, 2013 Public November 13, 2013					
Telephone Number: (312) 663-2261 Manual Signature of Official Responsible for Form: Date: November 8, 2013 Act Securities Exchange Act of 193 Public November 8, 2013	Title:				
Manual Signature of Official Responsible for Form: Date: November 8, 2013 Act Securities Exchange Act of 193 Act Securities Exchange Act of 193 Public NOV 13 2013		Vice President, Strategy and product Management			
Manual Signature of Official Responsible for Form: Section 195-4 Thus November 8, 2013 Public NOV 13 2013	Teleph	one Number:			
Manual Signature of Official Responsible for Form: Section 195-4 Ist.e November 8, 2013 Public NOV 13 2013		(312) 000-2201	Act	Secretics Exchange Act of 193	
Date: November 8, 2013 Public NOV 13 2013	Manua	l Signature of Official Responsible for Form:			
November 8, 2013 Public Public		The House	PRODUCT TO SUBSTITUTE OF THE PERSON OF THE P	1914	
November 8, 201/3 Public Nov 1 3 2013	Date:	U 100.	Buls	The second secon	
		November 8, 201/3	Public	NOV. 1 9 2010	
				NSV 13-2013	
		49 (6-01)	7		



SEC
Mail Processing
Section
NOV 1 2 2013
Washington DC

404

By UPS

Ms. Gayle S. Jackson
Division of Trading and Markets
Securities and Exchange Commission
100 F Street NE – Stop 7010
Washington, DC 20549

Re: Forms 19b-4(e) for various exchange traded products

Dear Ms. Jackson:

Pursuant to Rule 19b-4(e) of the Securities Exchange Act of 1934, I enclose for filing an original and four copies of the Forms 19b-4(e) relating to the following exchange traded products:

ASHR	db X-Trackers Harvest CSI 300 China A-Shares Fund			
DXKW	WisdomTree Korea Hedged Equity Fund			
EMFM	Global X Next Emerging & Frontier ETF			
ENFR	Alerian Energy Infrastructure ETF			
FTSD	Franklin Short Duration U.S. Government ETF			
GQRE	FlexShares Global Quality Real Estate Index Fund			
IGHG	ProShares Investment Grade – Interest Rate Hedged ETF			

If you have any questions about this filing, please contact me at (312) 663-2261.

Sincerely,

Steven I Givet

Vice President – Strategy and Product Management

Act Securities Exchange Act of 1934

Section 195-4
Rule 195-4(e)

Public Availability: NOV 1 3 2013