2013 OCT - 2 PM 3: 48 For Internal Use Only Submit 1 Original OMB Number: 3235-0504 Sec File No. 9and 4 copies SEC Expires July 31, 2004 Estimated average burden Mail Processing sponse. 2.00 Section UNITED STATES OCT 2 - 2013 SECURITIES AND EXCHANGE COMMISSION Washington DC WASHINGTON, D.C. 20549 AMENDED FORM 19b-4(e) Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934 READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Part I **Initial Listing Report** 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: EDGA Exchange, Inc. 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Citigroup Inc. 3. Class of New Derivative Securities Product: Investment Company Unit 4. Name of Underlying Instrument: MLPC: The Price Return Version of the Miller/Howard MLP Fundamental Index 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based: The 1 index referred to in item 4 above is Broad-Based 6. Ticker Symbol(s) of New Derivative Securities Product: MLPC 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: MLPC: AMEX, ARCA, NYSE, NASDAQ

(Please note, underlying components may trade on additional exchanges in countries / regions not mentioned here.)

8. Settlement Methodology of New Derivative Securities Product:

T+3, Physical Settlement

9. Position Limits of New Derivative Securities Product (if applicable):

Not Applicable

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Title:

General Counsel

Telephone Number:

Manual Signature of Official Responsible for Form:

Date:

Se General Counsel

Counsel

Annual Signature of Official Responsible for Form:

Se General Counsel

Annual Signature of Official Responsible for Form:

Se General Counsel

Annual Signature of Official Responsible for Form:

Date:

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