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UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549
AMENDED FORM 19b-4(e)

SEC
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Section
OCT 2 - 2013

Information Required of a Self-Regulatory Organization Listing and Trading
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934
Washington DC

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:

EDGA Exchange, Inc.

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):

Guggenheim Funds Investment Advisors, LLC

3. Class of New Derivative Securities Product:

Investment Company Unit

4. Name of Underlying Instrument:



BSJJ: NASDAQ BulletShares® USD Corporate Bond 2019 Index;
BSJK: NASDAQ BulletShares® USD High Yield Corporate Bond 2020 Index

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based:

The 2 indexes referred to in item 4 above are Broad-Based

6. Ticker Symbol(s) of New Derivative Securities Product:

BSJJ, BSJK

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

BSJJ: AMEX, ARCA, NYSE, NASDAQ;
BSJK: AMEX, ARCA, NYSE, NASDAQ

(Please note, underlying components may trade on additional exchanges in countries / regions not mentioned here.)

8. Settlement Methodology of New Derivative Securities Product:

T+3, Physical Settlement

9. Position Limits of New Derivative Securities Product (if applicable):

Not Applicable

Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Jeffrey S. Rosenstock

Title:

General Counsel

Telephone Number:

201-942-9295

Manual Signature of Official Responsible for Form:

Jeffrey S. Rosenstock

Date:

September 27, 2013
19b-4
19b-4(e)

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