

091-16339 fm

For Internal Use Only  
Sec File No. 9-

Submit 1 Original  
and 9 Copies

OMB APPROVAL  
OMB Number: 3235-0504  
Expires: August 31, 2013  
Estimated page burden  
hours per response: 3.60

RECEIVED  
2013 OCT -1 PM 3:47  
SEC / TM

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

SEC  
Mail Processing  
Section

FORM 19b-4(e)

SEP 30 2013

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

Washington DC  
4041934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I

Initial Listing Report



13035329

- Name of Self-Regulatory Organization Listing New Derivative Securities Product:  
Chicago Stock Exchange
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
Trust
- Class of New Derivative Securities Product:  
Ownership of the Trust
- Name of Underlying Instrument:  
Guggenheim BulletShares 2019 High Yield Corporate Bond ETF
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
Narrow-Based
- Ticker Symbol(s) of New Derivative Securities Product:  
BSJJ
- Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  
Various
- Settlement Methodology of New Derivative Securities Product:  
See Prospectus
- Position Limits of New Derivative Securities Product (if applicable):  
See Prospectus

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:  
Steven I. Givot

Title:  
Vice-President - Strategy and Product Management

Telephone Number:  
(312) 663-2261

Manual Signature of Official Responsible for Form:  
*Steven I. Givot*

Date:  
September 26, 2013

Act: Securities Exchange Act of 1934  
Section: 19b-4  
Rule: 19b-4  
Public Availability: SEP 30 2013



Chicago Stock Exchange

September 26, 2013

By UPS

Ms. Gayle S. Jackson
Division of Trading and Markets
Securities and Exchange Commission
100 F Street NE - Stop 7010
Washington, DC 20549

RECEIVED
2013 OCT -1 PM 3:47
SEC / TM

SEC
Mail Processing
Section
SEP 30 2013
Washington DC
404

Re: Forms 19b-4(e) for various exchange traded products

Dear Ms. Jackson:

Pursuant to Rule 19b-4(e) of the Securities Exchange Act of 1934, I enclose for filing an original and four copies of the Forms 19b-4(e) relating to the following exchange traded products:

Table with 2 columns: Symbol and Product Name. Rows include BSJJ, BSJK, DVHI, and NEAR.

If you have any questions about this filing, please contact me at (312) 663-2261.

Sincerely,

Handwritten signature of Steven I. Givot

Steven I. Givot
Vice President - Strategy and Product Management

Enclosures

Form with fields: Act (Securities Exchange Act of 1934), Section (19b-4), Rule (19b-4(e)), Public Availability (SEP 30 2013)