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UNITED STATES

2013 OCT - 1 PM SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20540

FORM 19b-4(e)

OMB APPRO

OMB Number:

Expires: August 31, 2013 Estimated average burden hours Estimated average burden

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SEP 3 0 2013

Information Required of a Self-Regulatory Organization Listing and Tra Washington Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange 4021 1994

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM **Initial Listing Report** Part I Name of Self-Regulatory Organization Listing New Derivative Securities Product: 1. Chicago Stock Exchange Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): 2. Class of New Derivative Securities Product: 3. Ownership of the Trust Name of Underlying Instrument: 4. Guggenheim BulletShares 2020 High Yield Corporate Bond ETF If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: 5. Narrow-Based Ticker Symbol(s) of New Derivative Securities Product: 6. BSJK Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: 7. Various Settlement Methodology of New Derivative Securities Product: 8. See Prospectus 9. Position Limits of New Derivative Securities Product (if applicable): See Prospectus Execution Part II The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Steven I. Givot Title: Vice-President - Strategy and Product Management Telephone Number: Securities 12.52 ange Act of 1934 (312) 663-2261 Act Manual Signature of Official Responsible for Form: 19b-4 Section Rule Date September 26, 2013 **Public** Availability:



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September 26, 2013

By UPS

Ms. Gayle S. Jackson
Division of Trading and Markets
Securities and Exchange Commission
100 F Street NE – Stop 7010
Washington, DC 20549

SEC Mail Processing Section SEP 3 0 2013

Washington DC 404

Re: Forms 19b-4(e) for various exchange traded products

Dear Ms. Jackson:

Pursuant to Rule 19b-4(e) of the Securities Exchange Act of 1934, I enclose for filing an original and four copies of the Forms 19b-4(e) relating to the following exchange traded products:

BSJJ	Guggenheim BulletShares 2019 High Yield Corporate Bond ETF
BSJK	Guggenheim BulletShares 2020 High Yield Corporate Bond ETF
DVHI	ETRACS Diversified High Income ETN
NEAR	iShares Short Maturity Bond ETF

If you have any questions about this filing, please contact me at (312) 663-2261.

Sincerely,

Steven I. Givot

Vice President – Strategy and Product Management

Enclosures

Act	Securities Exchange Act of 1934
Section	195-4
Rule	195-6(e)
Public Availability:	SEP 3 0 2013