OMB APPROVAL and 9 Copies and 9 Copies 2013 OCT - 1 PM 3: 48 UNITED STATES SECURITIES AND EXCHANGE COMMISSION SEC / TM Washington, D.C. 20540 Submit I Original For Internal Use Only Sec File No. 9- RECEIVED 3235-0504 OMB Number: Expires: August 31, 2013 Estimated average burden hours per response.....3 ..3.60 Mail Processing Section FORM 19b-4(e) SEP 3 0 2013 Information Required of a Self-Regulatory Organization Listing and Trading Washington DC Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of **READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Initial Listing Report** Part I Name of Self-Regulatory Organization Listing New Derivative Securities Product: 1. Chicago Stock Exchange Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): 2. Trust Class of New Derivative Securities Product: 3. Ownership of the Trust Name of Underlying Instrument: 4. iShares Short Maturity Bond ETF If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: 5. Narrow-Based Ticker Symbol(s) of New Derivative Securities Product: 6. NEAR Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: 7. Various Settlement Methodology of New Derivative Securities Product: 8. See Prospectus Position Limits of New Derivative Securities Product (if applicable): 9. See Prospectus Execution Part II The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Steven I. Givot Title: Vice-President - Strategy and Product Management Telephone Number: (312)-003-2261 Manual Signature of Official Responsible for Form: ALL Securities Exchange Act of 1934 Date: 195.4 September 26/2013 Public SEC 2449 (6-01) SEP 3 0 2013 Availability:



September 26, 2013

By UPS

Ms. Gayle S. Jackson Division of Trading and Markets Securities and Exchange Commission 100 F Street NE – Stop 7010 Washington, DC 20549 RECEIVED 2013 OCT - 1 PH 3: 47 SEC / TM

> SEC Mail Processing Section

SEP 3 0 2013

Washington DC 404

Re: Forms 19b-4(e) for various exchange traded products

Dear Ms. Jackson:

Pursuant to Rule 19b-4(e) of the Securities Exchange Act of 1934, I enclose for filing an original and four copies of the Forms 19b-4(e) relating to the following exchange traded products:

BSJJ	Guggenheim BulletShares 2019 High Yield Corporate Bond ETF
BSJK	Guggenheim BulletShares 2020 High Yield Corporate Bond ETF
DVHI	ETRACS Diversified High Income ETN
NEAR	iShares Short Maturity Bond ETF

If you have any questions about this filing, please contact me at (312) 663-2261.

Sincerely,

Steven I. Givot

Vice President – Strategy and Product Management

Enclosures

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	195-4(e)
Public Availability:	SEP 3 0 2013