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OMB Number: 3235-0504  
Expires: August 31, 2010  
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SEC / TM

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

SEC  
Mail Processing  
Section

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New - 2013  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934  
Washington DC

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM 404

Part I Initial Listing Report



- Name of Self-Regulatory Organization Listing New Derivative Securities Product:  
BATS Exchange, Inc.
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, co.,  
See attached.
- Class of New Derivative Securities Product:  
See attached.
- Name of Underlying Instrument:  
See attached.
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
See attached.
- Ticker Symbol(s) of New Derivative Securities Product:  
See attached.
- Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  
See attached.
- Settlement Methodology of New Derivative Securities Product:  
Trades locked in at Exchange and settled at NSCC.
- Position Limits of New Derivative Securities Product (if applicable):  
Not applicable.

Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form: Anders Franzon

Title: VP, Associate General Counsel

Telephone Number: (913) 815-7154

Manual Signature of Official Responsible for Form:

Date: October 1, 2013

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability	OCT 02 2013

ATTACHMENT TO FORM 19b-4(e)  
 FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS EXCHANGE, INC.  
 COMMENCING ON SEPTEMBER 25, 2013

<u>(6)</u> Ticker Symbol	<u>(2) Type of</u> Issuer of NDSP	<u>(3) Class of NDSP</u>	<u>(4) Name of Underlying Instrument</u>	<u>(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades</u>	<u>(5) Broad or Narrow</u>
FIEU	Corporation	Index Linked Notes	STOXX Europe 50 USD (Gross Return) Index	Various World Markets	Broad
DVHI	Corporation	Index Linked Notes	NYSE Diversified High Income Index	Various U.S. Markets	Broad
BSJJ	Trust	Investment Company Units	NASDAQ BulletShares USD High Yield Corporate Bond 2019 Index	Various U.S. Markets	Broad
BSJK	Trust	Investment Company Units	NASDAQ BulletShares USD High Yield Corporate Bond 2020 Index	Various U.S. Markets	Broad



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Washington DC 404

October 1, 2013

Ms. Gail Jackson
Mail Stop 6628
Securities and Exchange Commission
100 F Street, N.E.
Washington, DC 20549

RE: Form 19b-4(e) - BATS Exchange, Inc.

Ms. Jackson,

On behalf of BATS Exchange, Inc., enclosed please find an executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding four (4) derivative securities products that commenced trading on BATS Exchange on September 25, 2013. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely,

Handwritten signature of Anders Franzon

Anders Franzon
VP, Associate General Counsel
913.815.7154

Table with 2 columns: Field (Act, Section, Rule, Public Availability) and Value (Securities Exchange Act of 1934, 19b-4, 19b-4(e), OCT 02 2013)