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For Internal Use Submit 1 Original OMB APPROVAL Only and 9 Copies OMB Number: 3235-0504 Sec File No. 9-SEC Expires: August 31, 2010 Mail Processind UNITED STATES Estimated average burden SECURITIES AND EXCHANGE COMMISSION Section SECURITIES AND EXCHANGE COMMISSION Section hours per responsible to the securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934 hours per response.....3.60 READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM **Initial Listing Report** Part I 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: The NASDAQ Stock Market LLC (traded pursuant to unlisted trading privileges) 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Corporation 3. Class of New Derivative Securities Product: **Index Linked Note** 4. Name of Underlying Instrument: STOXX Europe 50 USD (Gross Return) Index 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: **Broad-based** 6. Ticker Symbol(s) of New Derivative Securities Product: FIEU 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Listed on: Borsa Italiana, Deutsche Borse, Euronext Amsterdam, Euronext Brussels, Euronext Lisbon, Euronext Paris, Iceland, Irish Stock Exchange, London Stock Exchange, Mercado Continuo Espanol, NASDAQ Stock Market LLC, NYSE, OMX, OMX Nordic Exchange, Helsinki, SWS Swiss 8. Position Limits of New Derivative Securities Product (if applicable): Regular way trades settle on T + 3 (cash settled) 9. Position Limits of New Derivative Securities Product (if applicable): N/A Part II **Execution** The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: **Joan Conley** Title: Secretary Telephone Number: 301-978-8735 Securities Exchange Act of 1934 Manual Signature of Official Responsible for/Fo Section 19h.4 Rule Date: September 10, 2013 19b-4(e) **Public** 

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