Submit 1 Original For Internal Use Only Sec File No. 91 and 9 Copies Expires: Estimated average burden hours per response: 2.00 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington DC Washington, D.C. 20549 FORM 19b-4(e) Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934 READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM **Initial Listing Report** . Name of Self-Regulatory Organization Listing New Derivative Securities Product: of the Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Open-end Management Investment Company Class of New Derivative Securities Product: Investment Company Units 4. Name of Underlying Instrument: BulletShares® USD High Corporate Bond 2020 Index 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: Broad Based Ticker Symbol(s) of New Derivative Securities Product: BSJK 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Over-the-Counter 8. Settlement Methodology of New Derivative Securities Product: Regular way trades settle on T+3/Book entry only held in DTC. 9. Position Limits of New Derivative Securities Product (if applicable): Not applicable. Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Janet L. McGinness Title: Corporate Secretary Act Securities Exchange Act of 1934 Telephone Number: (212) 656-2039 Section 19h-4

Rule

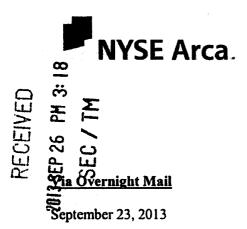
Public Availability:

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19b-((e)

Manual Signature of Official Responsible for Form:

September 23/2013 SEC 2449 (1/99) Janet McGinness
Corporate Secretary



NYSE Arca, Inc. 11 Wall Street New York, NY 10005

tel: 212.656.2039 fax: 212.656.8101 jmcginness@nyx.com

> SEC Mail Processing Section

SEP 2 5 2013
Washington DC

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Ms. Gail Jackson
US Securities and Exchange Commission
Division of Trading and Markets
Station Place – Building I
100 F Street, N.E. – Room 6628
Washington, DC 20549

Re: Guggenheim BulletShares 2019 High Yield Corporate Bond ETF Guggenheim BulletShares 2020 High Yield Corporate Bond ETF

Dear Ms. Jackson:

Enclosed for filing pursuant to Section 19(b) of the Securities Exchange Act of 1934 and Rule 19b-4 thereunder, please find an original and nine copies of Form 19b-4(e) with respect to the securities listed below.

Guggenheim BulletShares 2019 High Yield Corporate Bond ETF
 BSJJ
 Guggenheim BulletShares 2020 High Yield Corporate Bond ETF
 BSJK

If you have any questions, please do not hesitate to call me at (212) 656-2039.

Mitmues

Sincerely,

Enclosures

Securities Exchange Act of 1934
19b-4 195-4(e)
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