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SECURITIES AND EXPLANES COMMISSION

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

SEP - 4 2013

OWISION OF TRADING & MARKETS

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

# READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

#### Part I

### **Initial Listing Report**



 Name of Self-Regulatory Organization Listing New Derivative Securities Product: The NASDAQ Stock Market LLC (traded pursuant to unlisted trading privileges)

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):

Open End Management Investment Company

3. Class of New Derivative Securities Product:

## Exchange Traded Fund

4. Name of Underlying Instrument:

# Russell Fundamental U.S. Index

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:

### Broad-based

6. Ticker Symbol(s) of New Derivative Securities Product:

#### **FNDB**

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

# Listed on: NASDAQ Stock Market LLC, NYSE

8. Position Limits of New Derivative Securities Product (if applicable):

# Regular way trades settle on T + 3 (cash settled)

9. Position Limits of New Derivative Securities Product (if applicable):

N/A

### Part II

#### **Execution**

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

### **Joan Conley**

Title:

#### Secretary

Telephone Number:

#### 301-978-8735

Manual Signature of Official Responsible for Form:

Date: August 22, 2013

SEC 2449 (6-01)

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Act	Securities Exchange Act of 1934
	<u>-</u>
Section	19b-4

195-4(e)

Public Availability:

Rule

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