For Internal Use
Only
Sec File No. 9-


Submit 1 Original and 9 Copies

UNITED STATES
AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL OMB Number: 3235-0504 Expires: August 31, 2010 Estimated average burden hours per response......3.60

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange

## READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Initial Listing Report


13035302

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: The NASDAQ Stock Market LLC (traded pursuant to unlisted trading privileges)
2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):

Open End Management Investment Company
3. Class of New Derivative Securities Product:

Exchange Traded Fund
4. Name of Underlying Instrument:

Russell Fundamental U.S. Small Company Index
5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:

Broad-based
6. Ticker Symbols) of New Derivative Securities Product:

FDA
7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

Listed on: NASDAQ Stock Market LLC, NYSE
8. Position Limits of New Derivative Securities Product (if applicable):

Regular way trades settle on $\mathbf{T}+3$ (cash settled)
9. Position Limits of New Derivative Securities Product (if applicable):

N/A


