For Internal Use Phild Sec File NoE 9 Philo 2013 SEP - 6 PM 4: 09 SEC / TM Submit 1 Original OMB Number: 3235-0504 and 9 Copies Expires: August 31, 2013 Estimated average burden hours participation 3.60 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Mail Processing Washington, D.C. 20549 Section FORM 19b-4(e) SEP - 5 2013 Information Required of a Self-Regulatory Organization Listing and Tradition a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange 404 **READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Initial Listing Report** Part I Name of Self-Regulatory Organization Listing New Derivative Securities Product: 1. Chicago Stock Exchange 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Trust Class of New Derivative Securities Product: 3. Ownership of the Trust 4. Name of Underlying Instrument: INTERNATIONAL MULTI-ASSET DIVERSIFIED INCOME INDEX FUND 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: Narrow-Based Ticker Symbol(s) of New Derivative Securities Product: 6. YDIV 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Various Settlement Methodology of New Derivative Securities Product: 8. See Prospectus Position Limits of New Derivative Securities Product (if applicable): 9. See Prospectus Execution Part II The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Steven I. Givot Title: Securities Exchange Act of 1934 Vice-President - Strategy and Product Management Act Telephone Number: (312) 663-2261 196-4 Section Gial C Manual Signature of Official Responsible for Form: Rule SEP 0 5 2013 Public Availability: Date: August 27, 2013



## **By UPS**

Ms. Gayle S. Jackson Division of Trading and Markets Securities and Exchange Commission 100 F Street NE – Stop 7010 Washington, DC 20549 RECEIVED 2013 SEP - 6 PM 4: 09 SEC / TM

SEC Mail Processing Section

SE9 ~ 5 2013

Washington DC 404

## Re: Forms 19b-4(e) for various exchange traded products

Dear Ms. Jackson:

Pursuant to Rule 19b-4(e) of the Securities Exchange Act of 1934, I enclose for filing an original and four copies of the Forms 19b-4(e) relating to the following exchange traded products:

YDIV International Multi-Asset Diversified Income Index Fund

If you have any questions about this filing, please contact me at (312) 663-2261.

Sincerely,

Steven I. Givot Vice President – Strategy and Product Management

Enclosures

Act	Securities Exchange Act of 1934
Section	195-4 195-4(e)
Public Availability:	SEP 0.5 2013
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