Unly Sec File No. 9 SCHMUE COMMISSION SECURITES AND EXCHANGE COMMISSION Submit 1 Original OMB APPROVAL RECEIVED and 9 Copies OMB Number: 3235-0504 SEP - 42013 Expires: August 31, 2010 ONSONOF TRADING & MARKES Estimated average burden UNITED STATES SECURITIES AND EXCHANGE COMMISSION hours per response.....3.60 Washington, D.C. 20549 FORM 19b-4(e) Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM **Initial Listing Report** Part I 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: NASDAQ OMX BX, Inc. (traded pursuant to unlisted trading privileges) 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Open End Management Investment Company 3. Class of New Derivative Securities Product: Exchange Traded Fund 4. Name of Underlying Instrument: Solactive MLP & Energy Infrastructure Index 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: **Broad-based** 6. Ticker Symbol(s) of New Derivative Securities Product: MLPX 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Listed on: NASDAQ Stock Market LLC, NYSE 8. Position Limits of New Derivative Securities Product (if applicable): Regular way trades settle on T + 3 (cash settled) 9. Position Limits of New Derivative Securities Product (if applicable): N/A Execution Part II The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: **Joan Conley** Title: Secretary Telephone Number: 301-978-8735 Manual Signature of Official Responsible for Form: MU Date: August 08, 2013 Securities Exchange Act of 1934 Act Section 196-4 SEC 2449 (6-01) Rule 195-4(c) Public SEP 0 4 2013 Availability: