For Internal Use Only Sec File No. 9Submit 1 Original and 4 copies

OMB Number: 3235-0504

Expires July 31, 2004

Estimated average burden

SEC hours per response. . 2.00

Mail Processing Section

SEP 1 1 2013

SECURITIES AND EXCHANGE COMMISSION **WASHINGTON, D.C. 20549** AMENDED FORM 19b-4(e)



Date:

Washington DC Information Required of a Self-Regulatory Organization Listing and Trading a 1404

UNITED STATES

Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934 READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Part I **Initial Listing Report** 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: EDGA Exchange, Inc. 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Credit Suisse AG 3. Class of New Derivative Securities Product: Investment Company Unit 4. Name of Underlying Instrument: FIEU: STOXX Europe 50® USD (Gross Return) Index 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based: The 1 index referred to in item 4 above is Broad-Based 6. Ticker Symbol(s) of New Derivative Securities Product: 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: FIEU: AMEX, ARCA, NASDAQ, NYSE (Please note, underlying components may trade on additional exchanges in countries / regions not mentioned here.) 8. Settlement Methodology of New Derivative Securities Product: T+3, Physical Settlement 9. Position Limits of New Derivative Securities Product (if applicable): Not Applicable Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules. procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Jeffrey S. Rosenstrock Title: **General Counsel** Telephone Number: Securities Exchange Act of 1934 Manual Signature of Official Responsible for Form:

Public Availability:

September 11, 2013

Rule

SEP 1 1 2013

19b-4 195-4(p)