

COPY
091-15988Am

For Internet Use Only
Sec Fil No.

RECEIVED
2013 AUG 27 PM 12:55
SEC / TM

Submit 1 Original
and 4 copies

OMB APPROVAL
OMB Number: 3235-0504
Expires July 31, 2004
Estimated average burden
hours per response . . . 2.00

SEC
Mail Processing
Section

AUG 27 2013

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549
AMENDED FORM 19b-4(e)
Washington DC
404



13035215

Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:

EDGA Exchange, Inc.

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):

First Trust Advisors L.P.

3. Class of New Derivative Securities Product:

Investment Company Unit

4. Name of Underlying Instrument:

YDIV: NASDAQ International Multi-Asset Diversified Income Index

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based:

The 1 index referred to in item 4 above is Broad-Based

6. Ticker Symbol(s) of New Derivative Securities Product:

YDIV

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

YDIV: AMEX, ARCA, NASDAQ, NYSE

(Please note, underlying components may trade on additional exchanges in countries / regions not mentioned here.)

8. Settlement Methodology of New Derivative Securities Product:

T+3, Physical Settlement

9. Position Limits of New Derivative Securities Product (if applicable):

Not Applicable

Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Jeffrey S. Rosenstock

Title:

General Counsel

Telephone Number:

201-942-8295 Securities Exchange Act of 1934

Manual Signature of Official Responsible for Form:

J. Rosenstock
August 26, 2013 19b-4

Date:

Rule 19b-4(e)

Public

Availability:

AUG 27 2013