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ONISION OF TRADING & MARKETS

UNITED STATES SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C. 20549 AMENDED FORM 19b-4(e)



Information Required of a Self-Regulatory Organization Listing and Trading Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934 READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM **Initial Listing Report** Part I 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: EDGX Exchange, Inc. 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): BlackRock Fund Advisors 3. Class of New Derivative Securities Product: Investment Company Unit 4. Name of Underlying Instrument: IBDA: Barclays 2016 Maturity Corporate Index IBDB: Barclays 2018 Maturity Corporate Index IBDC: Barclays 2020 Maturity Corporate Index IBDD: Barciays 2023 Maturity Corporate Index 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based: The 4 indexes referred to in item 4 above are Broad-Based 6. Ticker Symbol(s) of New Derivative Securities Product: IBDA IBDB IBDC IBDD 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: IBDA: AMEX, ARCA, NASDAQ, NYSE IBDB: AMEX, ARCA, NASDAQ, NYSE IBDC: AMEX, ARCA, NASDAQ, NYSE IBDD: AMEX, ARCA, NASDAQ, NYSE (Please note, underlying components may trade on additional exchanges in countries / regions not mentioned here.) 8. Settlement Methodology of New Derivative Securities Product: T+3, Physical Settlement 9. Position Limits of New Derivative Securities Product (if applicable): Not Applicable Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules,

procedures, surveillance programs and listing standards. Securities Exchange Act of 1934 Act Name of Official Responsible for Form: Jeffrey S. Rosenstrock 190-4 Title: General Exiting Telephone Number:

201-942-8095 Manual Signature of Official Responsible for Form: July 15, 2013 ic Date: **ZU13** Availability: