

091-15931m

For Internal Use Only  
Sec File No. 9-

Submit 1 Original  
and 4 copies

OMB APPROVAL  
OMB Number: 3235-0504  
Expires July 31, 2004  
Estimated average burden  
hours per response . . 2.00

SECURITIES AND EXCHANGE COMMISSION  
**RECEIVED**  
JUL 17 2013

DIVISION OF TRADING & MARKETS

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, D.C. 20549  
AMENDED FORM 19b-4(e)



13035156

Information Required of a Self-Regulatory Organization Listing and Trading  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

**READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM**

**Part I Initial Listing Report**

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:

EDGX Exchange, Inc.

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):

BlackRock Fund Advisors

3. Class of New Derivative Securities Product:

Investment Company Unit

4. Name of Underlying Instrument:

IBDA: Barclays 2016 Maturity Corporate Index

IBDB: Barclays 2018 Maturity Corporate Index

IBDC: Barclays 2020 Maturity Corporate Index

IBDD: Barclays 2023 Maturity Corporate Index

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based:

The 4 indexes referred to in item 4 above are Broad-Based

6. Ticker Symbol(s) of New Derivative Securities Product:

IBDA

IBDB

IBDC

IBDD

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

IBDA: AMEX, ARCA, NASDAQ, NYSE

IBDB: AMEX, ARCA, NASDAQ, NYSE

IBDC: AMEX, ARCA, NASDAQ, NYSE

IBDD: AMEX, ARCA, NASDAQ, NYSE

*(Please note, underlying components may trade on additional exchanges in countries / regions not mentioned here.)*

8. Settlement Methodology of New Derivative Securities Product:

T+3, Physical Settlement

9. Position Limits of New Derivative Securities Product (if applicable):

Not Applicable

**Part II**

**Execution**

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Jeffrey S. Rosenstock

Title:

General Counsel

Telephone Number:

201-972-8295

Manual Signature of Official Responsible for Form:

Date:

July 15, 2013

JUL 17 2013

SECURITIES AND EXCHANGE ACT OF 1934  
19b-4  
JUL 17 2013