

091-15919

For Internal Use Only  
Sec File No. 9-

Submit 1 Original  
and 4 copies

OMB APPROVAL  
OMB Number: 3235-0504  
Expires July 31, 2004  
Estimated average burden  
hours per response . . 2.00

SECURITIES AND EXCHANGE COMMISSION  
**RECEIVED**  
JUL 17 2013  
DIVISION OF TRADING & MARKETS

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, D.C. 20549  
AMENDED FORM 19b-4(e)



13035145

Information Required of a Self-Regulatory Organization Listing and Trading a  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

**READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM**

**Part I Initial Listing Report**

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:

EDGA Exchange, Inc.

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):

ALPS Advisors, Inc.

3. Class of New Derivative Securities Product:

Investment Company Unit

4. Name of Underlying Instrument:

IDOG: S-Network International Sector Dividend Dogs Index

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based:

The 1 index referred to in item 4 above is Broad-Based

6. Ticker Symbol(s) of New Derivative Securities Product:

IDOG

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

IDOG: Japan, UK, Finland, Australia, France

*(Please note, underlying components may trade on additional exchanges in countries / regions not mentioned here.)*

8. Settlement Methodology of New Derivative Securities Product:

T+3, Physical Settlement

9. Position Limits of New Derivative Securities Product (if applicable):

Not Applicable

**Part II Execution**

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Jeffrey S. Rosenstock

Title:

General Counsel

Telephone Number:

201 441-8295

Securities Exchange Act of 1934

Manual Signature of Official Responsible for Form:

Jul 17 2013

Date:

19b-4  
19b-4(e)

Public  
Availability: JUL 17 2013