11-158101

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Part I

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OMB APPROVAL

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SECURITIES AND EXCHANGE COMMISSION RECEIVED

**UNITED STATES** SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

JUL - 9 2013

FORM 19b-4(e)

NINSION OF TRADING & MARKETS

FORM 19b-4(e)

ON Description Securities Product of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

## READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

## **Initial Listing Report**

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: NASDAQ OMX PHLX LLC (traded pursuant to unlisted trading privileges)

- 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Open End Management Investment Company
- 3. Class of New Derivative Securities Product:

**Exchange Traded Fund** 

4. Name of Underlying Instrument:

VelocityShares Volatility Hedged Large Cap Index

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:

Narrow-based

- 6. Ticker Symbol(s) of New Derivative Securities Product:
- **SPXH** 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

Listed on: NYSE Arca

8. Position Limits of New Derivative Securities Product (if applicable):

Regular way trades settle on T + 3 (cash settled)

9. Position Limits of New Derivative Securities Product (if applicable): N/A

**Execution** Part II

> The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Joan Conley

Title:

Secretary

Telephone Number:

301-978-8735

Manual Signature of Official Responsible for Form:

Date: June 26, 2013

SEC 2449 (6-01)

Securities Exchange Act of 1934

Section 105-4 Rule

Public Availability:

JUL 09 2013