| FACING PAGE Wa<br>Information Required of Brokers and Dealers Pursu<br>Securities Exchange Act of 1934 and Rule 17  | OMB APPROVAL  |
|---|---|
| Image: Second Street       FORM X-17A S         13030837       FACING PAGE         FACING PAGE       Wa         FACING PAGE       Wa         Information Required of Brokers and Dealers Pursus       Securities Exchange Act of 1934 and Rule 17a         Report FOR THE PERIOD BEGINNING       01/01/2012         ANIM/DD/YY       ANIM/DD/YY         A. REGISTRANT IDENTIFICATION         JAME OF BROKER-DEALER: Futurity First Investment Services, Inc.         ADDRESS OF PRINCIPAL PLACE OF BUSINESS:       (Do not use P.O. Box No.)         500 Enterprise Drive, Suite 4C       (No. and Street)         Rocky Hill       CT         (City)       (State)         JAME AND TELEPHONE NUMBER OF PERSON TO CONT '. CT IN REGARD | SEC Expires: April 30, 20                                       |
| Information Required of Brokers and Dealers Pursu<br>Securities Exchange Act of 1934 and Rule 17:<br>EPORT FOR THE PERIOD BEGINNING 01/01/2012 ANI<br>MM/DD/YY<br>A. REGISTRANT IDENTIFICATIO<br>IAME OF BROKER-DEALER: Futurity First Investment Services, Inc.<br>DDRESS OF PRINCIPAL PLACE OF BUSINESS: (Do not use P.O. Box No.)<br>500 Enterprise Drive, Suite 4C<br>(No. and Street)<br>Rocky Hill CT<br>(City) (State)<br>IAME AND TELEPHONE NUMBER OF PERSON TO CONT '. CT IN REGAR   | MAR 0 1 2013 Section 8-67957                                    |
| MM/DD/YY A. REGISTRANT IDENTIFICATIO AME OF BROKER-DEALER: Futurity First Investment Services, Inc. DDRESS OF PRINCIPAL PLACE OF BUSINESS: (Do not use P.O. Box No.) 500 Enterprise Drive, Suite 4C (No. and Street) (No. and Street) (City) (State) AME AND TELEPHONE NUMBER OF PERSON TO CONT '. CT IN REGARM   | ashington DC<br>uant 45<br>Section 17 of the<br>7a-5 Thereunder |
| A. REGISTRANT IDENTIFICATIO   | ID ENDING12/31/2012   |
| AME OF BROKER-DEALER: Futurity First Investment Services, Inc.<br>DDRESS OF PRINCIPAL PLACE OF BUSINESS: (Do not use P.O. Box No.)<br>500 Enterprise Drive, Suite 4C<br>(No. and Street)<br>Rocky Hill<br>(City)<br>(City)<br>(State)<br>AME AND TELEPHONE NUMBER OF PERSON TO CONT '. CT IN REGAR  | MM/DD/YY  |
| DDRESS OF PRINCIPAL PLACE OF BUSINESS: (Do not use P.O. Box No.)<br>500 Enterprise Drive, Suite 4C<br>(No. and Street)<br>Rocky Hill<br>(City)<br>AME AND TELEPHONE NUMBER OF PERSON TO CONT '. CT IN REGAR   |   |
| 500 Enterprise Drive, Suite 4C (No. and Street) Rocky Hill (City) (State) (City) (State) (AME AND TELEPHONE NUMBER OF PERSON TO CONT '. CT IN REGARM  | OFFICIAL USE ONL  |
| (No. and Street)<br>CCT<br>(City) (State)<br>AME AND TELEPHONE NUMBER OF PERSON TO CONT'.CT IN REGARM   | ) FIRM I.D. NO.   |
| CT<br>(City) (State)<br>AME AND TELEPHONE NUMBER OF PERSON TO CONT (.CT IN REGAR)   |   |
| (City) (State)<br>AME AND TELEPHONE NUMBER OF PERSON TO CONT '.CT IN REGAR  |   |
| AME AND TELEPHONE NUMBER OF PERSON TO CONT CT IN REGAR  | 06067   |
|   | (Zip Code)  |
| eier Lanale   | RD TO THIS REPORT<br><b>869-838-4808</b>                        |
|   | (Area Code – Telephone Num                                      |
| B. ACCOUNTANT IDENTIFICATIO   | ION   |
| IDEPENDENT PUBLIC ACCOUNTANT whose opinion is contained in this Re<br>ICGladrey LLP   | Report*   |
| (Name – if individual, state last, first, midd  | ldle name)  |
| 50 Canal Street, 4th Floor Stamford   | CT 06902  |
| (Address) (City)  | (State) (Zip Code)  |
| HECK ONE:   |   |
| Certified Public Accountant   |   |
| Public Accountant   |   |
| Accountant not resident in United States or any of its possessions.   |   |
| FOR OFFICIAL USE ONLY   |   |
|   |   |
|   |   |

\*Claims for exemption from the requirement that the annual report be covered by the opinion of an independent public accountant must be supported by a statement of facts and circumstances relied on as the basis for the exemption. See Section 240.17a-5(e)(2)

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

## OATH OR AFFIRMATION

۴,

----

4

<u>,</u>

| either the company nor any partner, proprietor, principal officer or director has any proprietary interest in any account lassified solely as that of a customer, except as follows:           Image: Additional and the except as follows:           Image: Additional addition.           Image: Additional additional addition.           Image: Additional ad  | , Peter Lahaie  | , swear (or affirm) that, to the best of                              |
|---|---|---|
| Image: Provide state of the serve Requirements Pursuant to Rule 15c3-3.         (b) Computation for Determination of the Reserve Requirements Under Exhibit A of Rule 15c3-1 and the Computation for Determination.         (c) An Oath or Affirmation.         (c) A capt describing any material inadequacies found under separate cover)         (m) A capt describing any material inadequacies found to exist or found to have existed since the date of the previous and coveribing any materia   | • •   | ient and supporting schedules pertaining to the firm of               |
| either the company nor any partner, proprietor, principal officer or director has any proprietary interest in any account<br>lassified solely as that of a customer, except as follows:   |   |   |
| Assified solely as that of a customer, except as follows:   |   |   |
| Micole JUDD<br>NOTARY PUBLIC       Director and Chief Financial Officer         My Commission Expires Feb. 28. 21       Title         Statement of Changes in Financial Condition.       Statement of Changes in Stackholders' Equity or Partners' or Sole Proprietors' Capital.         Statement of Changes in Liabilities Subordinated to Claims of Creditors.       Statement of Changes in Liabilities Subordinated to Claims of Creditors.         G Computation for Determination of Reserve Requirements Under Rule 15c3-3.       A Reconciliation, including appropriate explanation of the Computation of Net Capital Under Rule 15c3-1 and the Computation for Determination of the Reserve Requirements Under Exhibit A of R  |   | officer or director has any proprietary interest in any account       |
| NICOLE JUDD<br>NOTARY PUBLIC     Title     Title     Title     STATE OF CONNECTICUT     Wy Commission Expires Feb. 26. 2017     Youry Public     Statement of Financial Condition.     (a) Facing Page.     (b) Statement of Financial Condition.     (c) Statement of Changes in Financial Condition.     (d) Statement of Changes in Stockholders' Equity or Partners' or Sole Proprietors' Capital.     (f) Statement of Net Capital.     (h) Computation for Determination of Reserve Requirements Pursuant to Rule 15c3-3.     (i) Information Relating to the Possession or Control Requirements Under Rule 15c3-3.     (i) A Reconciliation, including appropriate explanation of the Computation of Net Capital Under Rule 15c3-1 and the Computation between the audited and unaudited Statements of Financial Condition with respect to methods of consolidation.     (i) An Oath or Affirmation.     (m) A copy of the SIPC Supplemental Report. (Bound under separate cover)     (n) A report describing any material inadequacies found to exist or found to have existed since the date of the previous and some an   | classified solely as that of a customer, except as follows: |   |
| NICOLE JUDD<br>NOTARY PUBLIC     Title     Title     Title     STATE OF CONNECTICUT     Wy Commission Expires Feb. 26. 2017     Youry Public     Statement of Financial Condition.     (a) Facing Page.     (b) Statement of Financial Condition.     (c) Statement of Changes in Financial Condition.     (d) Statement of Changes in Stockholders' Equity or Partners' or Sole Proprietors' Capital.     (f) Statement of Net Capital.     (h) Computation for Determination of Reserve Requirements Pursuant to Rule 15c3-3.     (i) Information Relating to the Possession or Control Requirements Under Rule 15c3-3.     (i) A Reconciliation, including appropriate explanation of the Computation of Net Capital Under Rule 15c3-1 and the Computation between the audited and unaudited Statements of Financial Condition with respect to methods of consolidation.     (i) An Oath or Affirmation.     (m) A copy of the SIPC Supplemental Report. (Bound under separate cover)     (n) A report describing any material inadequacies found to exist or found to have existed since the date of the previous and some an   |   |   |
| NICOLE JUDD<br>NOTARY PUBLIC     Title     Title     Title     STATE OF CONNECTICUT     Wy Commission Expires Feb. 26. 2017     Youry Public     Statement of Financial Condition.     (a) Facing Page.     (b) Statement of Financial Condition.     (c) Statement of Changes in Financial Condition.     (d) Statement of Changes in Stockholders' Equity or Partners' or Sole Proprietors' Capital.     (f) Statement of Net Capital.     (h) Computation for Determination of Reserve Requirements Pursuant to Rule 15c3-3.     (i) Information Relating to the Possession or Control Requirements Under Rule 15c3-3.     (i) A Reconciliation, including appropriate explanation of the Computation of Net Capital Under Rule 15c3-1 and the Computation between the audited and unaudited Statements of Financial Condition with respect to methods of consolidation.     (i) An Oath or Affirmation.     (m) A copy of the SIPC Supplemental Report. (Bound under separate cover)     (n) A report describing any material inadequacies found to exist or found to have existed since the date of the previous and some an   |   |   |
| NICOLE JUDD<br>NOTARY PUBLIC<br>STATE OF CONNECTIC. T<br>My Commission Expires Feb. 26. 2017<br>Inite<br>Notary Public<br>Notary Public<br>Notary Public<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title |   | Signature   |
| NICOLE JUDD<br>NOTARY PUBLIC<br>STATE OF CONNECTIC. T<br>My Commission Expires Feb. 26. 2017<br>Inite<br>Notary Public<br>Notary Public<br>Notary Public<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title<br>Title |   | Director and Chief Financial Officer                                  |
| <ul> <li>NOTARY PUBLIC</li> <li>STATE OF CONNECTIC: *</li> <li>My Commission Expires Feb. 28. 2017</li> <li>My Commission Expires Feb. 28. 2017</li> <li>An Facing Page.</li> <li>(a) Facing Page.</li> <li>(b) Statement of Financial Condition.</li> <li>(c) Statement of Income (Loss).</li> <li>(d) Statement of Changes in Financial Condition.</li> <li>(e) Statement of Changes in Stockholders' Equity or Partners' or Sole Proprietors' Capital.</li> <li>(f) Statement of Changes in Liabilities Subordinated to Claims of Creditors.</li> <li>(g) Computation of Net Capital.</li> <li>(h) Computation of Net Capital.</li> <li>(i) Information Relating to the Possession or Control Requirements Under Rule 15c3-3.</li> <li>(j) A Reconciliation, including appropriate explanation of the Computation of Net Capital Under Rule 15c3-1 and the Computation for Determination of the Reserve Requirements Under Exhibit A of Rule 15c3-3.</li> <li>(k) A Reconciliation between the audited and unaudited Statements of Financial Condition with respect to methods of consolidation.</li> <li>(i) An Oath or Affirmation.</li> <li>(m) A copy of the SIPC Supplemental Report. (Bound under separate cover)</li> <li>(n) A report describing any material inadequacies found to exist or found to have existed since the date of the previous and th</li></ul>  |   | Title   |
| <ul> <li>My Commission Expires Feb. 28. 2017</li> <li>Atory Public</li> <li>Atory of the SIPC Supplemental Report. (Bound under separate cover)</li> <li>A report describing any material inadequacies found to exist or found to have existed since the date of the previous at a construction of the previous at a const</li></ul>  |   | ~   |
| <ul> <li>A forry Public</li> <li>his report ** contains (check all applicable boxes): <ul> <li>(a) Facing Page.</li> <li>(b) Statement of Financial Condition.</li> <li>(c) Statement of Income (Loss).</li> <li>(d) Statement of Changes in Financial Condition.</li> <li>(e) Statement of Changes in Stockholders' Equity or Partners' or Sole Proprietors' Capital.</li> <li>(f) Statement of Changes in Liabilities Subordinated to Claims of Creditors.</li> <li>(g) Computation of Net Capital.</li> <li>(h) Computation for Determination of Reserve Requirements Pursuant to Rule 15c3-3.</li> <li>(i) Information Relating to the Possession or Control Requirements Under Rule 15c3-3.</li> <li>(j) A Reconciliation, including appropriate explanation of the Computation of Net Capital Under Rule 15c3-3.</li> <li>(k) A Reconciliation between the audited and unaudited Statements of Financial Condition with respect to methods of consolidation.</li> <li>(i) An Oath or Affirmation.</li> <li>(m) A copy of the SIPC Supplemental Report. (Bound under separate cover)</li> <li>(n) A report describing any material inadequacies found to exist or found to have existed since the date of the previous and previo</li></ul></li></ul>  |   |   |
| <ul> <li>(a) Facing Page.</li> <li>(b) Statement of Financial Condition.</li> <li>(c) Statement of Income (Loss).</li> <li>(d) Statement of Changes in Financial Condition.</li> <li>(e) Statement of Changes in Stockholders' Equity or Partners' or Sole Proprietors' Capital.</li> <li>(f) Statement of Changes in Liabilities Subordinated to Claims of Creditors.</li> <li>(g) Computation of Net Capital.</li> <li>(h) Computation for Determination of Reserve Requirements Pursuant to Rule 15c3-3.</li> <li>(i) Information Relating to the Possession or Control Requirements Under Rule 15c3-3.</li> <li>(j) A Reconciliation, including appropriate explanation of the Computation of Net Capital Under Rule 15c3-1 and the Computation for Determination of the Reserve Requirements Under Exhibit A of Rule 15c3-3.</li> <li>(k) A Reconciliation between the audited and unaudited Statements of Financial Condition with respect to methods of consolidation.</li> <li>(l) An Oath or Affirmation.</li> <li>(m) A copy of the SIPC Supplemental Report. (Bound under separate cover)</li> <li>(n) A report describing any material inadequacies found to exist or found to have existed since the date of the previous and set of the</li></ul>   | Notary Public   |   |
| <ul> <li>(a) Facing Page.</li> <li>(b) Statement of Financial Condition.</li> <li>(c) Statement of Income (Loss).</li> <li>(d) Statement of Changes in Financial Condition.</li> <li>(e) Statement of Changes in Stockholders' Equity or Partners' or Sole Proprietors' Capital.</li> <li>(f) Statement of Changes in Liabilities Subordinated to Claims of Creditors.</li> <li>(g) Computation of Net Capital.</li> <li>(h) Computation for Determination of Reserve Requirements Pursuant to Rule 15c3-3.</li> <li>(i) Information Relating to the Possession or Control Requirements Under Rule 15c3-3.</li> <li>(j) A Reconciliation, including appropriate explanation of the Computation of Net Capital Under Rule 15c3-1 and the Computation for Determination of the Reserve Requirements Under Exhibit A of Rule 15c3-3.</li> <li>(k) A Reconciliation between the audited and unaudited Statements of Financial Condition with respect to methods of consolidation.</li> <li>(l) An Oath or Affirmation.</li> <li>(m) A copy of the SIPC Supplemental Report. (Bound under separate cover)</li> <li>(n) A report describing any material inadequacies found to exist or found to have existed since the date of the previous and set of the</li></ul>   | This report ** contains (check all applicable hoves):       |   |
| <ul> <li>(b) Statement of Financial Condition.</li> <li>(c) Statement of Income (Loss).</li> <li>(d) Statement of Changes in Financial Condition.</li> <li>(e) Statement of Changes in Stockholders' Equity or Partners' or Sole Proprietors' Capital.</li> <li>(f) Statement of Changes in Liabilities Subordinated to Claims of Creditors.</li> <li>(g) Computation of Net Capital.</li> <li>(h) Computation for Determination of Reserve Requirements Pursuant to Rule 15c3-3.</li> <li>(i) Information Relating to the Possession or Control Requirements Under Rule 15c3-3.</li> <li>(j) A Reconciliation, including appropriate explanation of the Computation of Net Capital Under Rule 15c3-1 and the Computation for Determination of the Reserve Requirements Under Exhibit A of Rule 15c3-3.</li> <li>(k) A Reconciliation between the audited and unaudited Statements of Financial Condition with respect to methods of consolidation.</li> <li>(l) An Oath or Affirmation.</li> <li>(m) A copy of the SIPC Supplemental Report. (Bound under separate cover)</li> <li>(n) A report describing any material inadequacies found to exist or found to have existed since the date of the previous and the previo</li></ul>  |   |   |
| <ul> <li>(c) Statement of Income (Loss).</li> <li>(d) Statement of Changes in Financial Condition.</li> <li>(e) Statement of Changes in Stockholders' Equity or Partners' or Sole Proprietors' Capital.</li> <li>(f) Statement of Changes in Liabilities Subordinated to Claims of Creditors.</li> <li>(g) Computation of Net Capital.</li> <li>(h) Computation for Determination of Reserve Requirements Pursuant to Rule 15c3-3.</li> <li>(i) Information Relating to the Possession or Control Requirements Under Rule 15c3-3.</li> <li>(j) A Reconciliation, including appropriate explanation of the Computation of Net Capital Under Rule 15c3-1 and the Computation for Determination of the Reserve Requirements Under Exhibit A of Rule 15c3-3.</li> <li>(k) A Reconciliation between the audited and unaudited Statements of Financial Condition with respect to methods of consolidation.</li> <li>(l) An Oath or Affirmation.</li> <li>(m) A copy of the SIPC Supplemental Report. (Bound under separate cover)</li> <li>(n) A report describing any material inadequacies found to exist or found to have existed since the date of the previous and the pr</li></ul>  |   |   |
| <ul> <li>(d) Statement of Changes in Financial Condition.</li> <li>(e) Statement of Changes in Stockholders' Equity or Partners' or Sole Proprietors' Capital.</li> <li>(f) Statement of Changes in Liabilities Subordinated to Claims of Creditors.</li> <li>(g) Computation of Net Capital.</li> <li>(h) Computation for Determination of Reserve Requirements Pursuant to Rule 15c3-3.</li> <li>(i) Information Relating to the Possession or Control Requirements Under Rule 15c3-3.</li> <li>(j) A Reconciliation, including appropriate explanation of the Computation of Net Capital Under Rule 15c3-1 and the Computation for Determination of the Reserve Requirements Under Exhibit A of Rule 15c3-3.</li> <li>(k) A Reconciliation between the audited and unaudited Statements of Financial Condition with respect to methods of consolidation.</li> <li>(l) An Oath or Affirmation.</li> <li>(m) A copy of the SIPC Supplemental Report. (Bound under separate cover)</li> <li>(n) A report describing any material inadequacies found to exist or found to have existed since the date of the previous and the previous and the second statements of the reserve of the previous and the second statements of the since the date of the previous and the second statements of the since the date of the previous and the second statements of the since the date of the previous and the second statements of the since the date of the previous and the second statements of the since the date of the previous and the second statements of the since the date of the previous and the second statements of the since the date of the previous and the second statements of the since the date of the previous and the second statements of the since the date of the previous and the second statements of the since the date of the previous and the second statements of the since the date of the previous and the second statements of the since the second statement statements of the since the second statement statement statement statement statement statement statement statement statement statem</li></ul>  |   |   |
| <ul> <li>(f) Statement of Changes in Liabilities Subordinated to Claims of Creditors.</li> <li>(g) Computation of Net Capital.</li> <li>(h) Computation for Determination of Reserve Requirements Pursuant to Rule 15c3-3.</li> <li>(i) Information Relating to the Possession or Control Requirements Under Rule 15c3-3.</li> <li>(j) A Reconciliation, including appropriate explanation of the Computation of Net Capital Under Rule 15c3-1 and the Computation for Determination of the Reserve Requirements Under Exhibit A of Rule 15c3-3.</li> <li>(k) A Reconciliation between the audited and unaudited Statements of Financial Condition with respect to methods of consolidation.</li> <li>(l) An Oath or Affirmation.</li> <li>(m) A copy of the SIPC Supplemental Report. (Bound under separate cover)</li> <li>(n) A report describing any material inadequacies found to exist or found to have existed since the date of the previous and th</li></ul>  |   |   |
| <ul> <li>(g) Computation of Net Capital.</li> <li>(h) Computation for Determination of Reserve Requirements Pursuant to Rule 15c3-3.</li> <li>(i) Information Relating to the Possession or Control Requirements Under Rule 15c3-3.</li> <li>(j) A Reconciliation, including appropriate explanation of the Computation of Net Capital Under Rule 15c3-1 and the Computation for Determination of the Reserve Requirements Under Exhibit A of Rule 15c3-3.</li> <li>(k) A Reconciliation between the audited and unaudited Statements of Financial Condition with respect to methods of consolidation.</li> <li>(l) An Oath or Affirmation.</li> <li>(m) A copy of the SIPC Supplemental Report. (Bound under separate cover)</li> <li>(n) A report describing any material inadequacies found to exist or found to have existed since the date of the previous and the separate cover is the since the date of the previous and the separate cover is the since the date of the previous and the separate cover is the since the date of the previous and the separate cover is the since the date of the previous and the separate cover is the since the date of the previous and the separate cover is the since the date of the previous and the separate cover is the since the date of the previous and the separate cover is the since the date of the previous and the separate cover is the since the date of the previous and the separate cover is the since the date of the previous and the separate cover is the since the date of the previous and the separate cover is the since the date of the previous and the separate cover is the since the si</li></ul>  |   |   |
| <ul> <li>(h) Computation for Determination of Reserve Requirements Pursuant to Rule 15c3-3.</li> <li>(i) Information Relating to the Possession or Control Requirements Under Rule 15c3-3.</li> <li>(j) A Reconciliation, including appropriate explanation of the Computation of Net Capital Under Rule 15c3-1 and the Computation for Determination of the Reserve Requirements Under Exhibit A of Rule 15c3-3.</li> <li>(k) A Reconciliation between the audited and unaudited Statements of Financial Condition with respect to methods of consolidation.</li> <li>(l) An Oath or Affirmation.</li> <li>(m) A copy of the SIPC Supplemental Report. (Bound under separate cover)</li> <li>(n) A report describing any material inadequacies found to exist or found to have existed since the date of the previous and the separate cover is the since the date of the previous and the separate cover is the since the date of the previous and the separate cover is the since the date of the previous and the separate cover is the since the date of the previous and the separate cover is the since the date of the previous and the separate cover is the since the date of the previous and the separate cover is the since the date of the previous and the separate cover is the since the date of the previous and the separate cover is the since the date of the previous and the separate cover is the since the date of the previous and the separate cover is the since the date of the previous and the separate cover is the since the date of the previous and the separate cover is the since the since the date of the previous and the separate cover is the since the si</li></ul>  | (f) Statement of Changes in Liabilities Subordinated to     | Claims of Creditors.  |
| <ul> <li>(h) Computation for Determination of Reserve Requirements Pursuant to Rule 15c3-3.</li> <li>(i) Information Relating to the Possession or Control Requirements Under Rule 15c3-3.</li> <li>(j) A Reconciliation, including appropriate explanation of the Computation of Net Capital Under Rule 15c3-1 and the Computation for Determination of the Reserve Requirements Under Exhibit A of Rule 15c3-3.</li> <li>(k) A Reconciliation between the audited and unaudited Statements of Financial Condition with respect to methods of consolidation.</li> <li>(l) An Oath or Affirmation.</li> <li>(m) A copy of the SIPC Supplemental Report. (Bound under separate cover)</li> <li>(n) A report describing any material inadequacies found to exist or found to have existed since the date of the previous and the separate cover is the since the date of the previous and the separate cover is the since the date of the previous and the separate cover is the since the date of the previous and the separate cover is the since the date of the previous and the separate cover is the since the date of the previous and the separate cover is the since the date of the previous and the separate cover is the since the date of the previous and the separate cover is the since the date of the previous and the separate cover is the since the date of the previous and the separate cover is the since the date of the previous and the separate cover is the since the date of the previous and the separate cover is the since the date of the previous and the separate cover is the since the date of the previous and the separate cover is the since the since the date of the previous and the separate cover is the since the s</li></ul>  |   |   |
| <ul> <li>(j) A Reconciliation, including appropriate explanation of the Computation of Net Capital Under Rule 15c3-1 and the Computation for Determination of the Reserve Requirements Under Exhibit A of Rule 15c3-3.</li> <li>(k) A Reconciliation between the audited and unaudited Statements of Financial Condition with respect to methods of consolidation.</li> <li>(l) An Oath or Affirmation.</li> <li>(m) A copy of the SIPC Supplemental Report. (Bound under separate cover)</li> <li>(n) A report describing any material inadequacies found to exist or found to have existed since the date of the previous and the previous and the separate cover.</li> </ul>   | (h) Computation for Determination of Reserve Require        |   |
| <ul> <li>Computation for Determination of the Reserve Requirements Under Exhibit A of Rule 15c3-3.</li> <li>(k) A Reconciliation between the audited and unaudited Statements of Financial Condition with respect to methods of consolidation.</li> <li>(l) An Oath or Affirmation.</li> <li>(m) A copy of the SIPC Supplemental Report. (Bound under separate cover)</li> <li>(n) A report describing any material inadequacies found to exist or found to have existed since the date of the previous and the second statement of t</li></ul>  | (i) Information Relating to the Possession or Control R     | Requirements Under Rule 15c3-3.                                       |
| <ul> <li>(k) A Reconciliation between the audited and unaudited Statements of Financial Condition with respect to methods of consolidation.</li> <li>(l) An Oath or Affirmation.</li> <li>(m) A copy of the SIPC Supplemental Report. (Bound under separate cover)</li> <li>(n) A report describing any material inadequacies found to exist or found to have existed since the date of the previous and the second statement of the second statement o</li></ul>  | (j) A Reconciliation, including appropriate explanation     | 1 of the Computation of Net Capital Under Rule 15c3-1 and the         |
| <ul> <li>consolidation.</li> <li>(1) An Oath or Affirmation.</li> <li>(m) A copy of the SIPC Supplemental Report. (Bound under separate cover)</li> <li>(n) A report describing any material inadequacies found to exist or found to have existed since the date of the previous and the second second</li></ul>  | Computation for Determination of the Reserve Req            | uirements Under Exhibit A of Rule 15c3-3.                             |
| <ul> <li>(1) An Oath or Affirmation.</li> <li>(m) A copy of the SIPC Supplemental Report. (Bound under separate cover)</li> <li>(n) A report describing any material inadequacies found to exist or found to have existed since the date of the previous and the previous and the second sec</li></ul>  |   | d Statements of Financial Condition with respect to methods of        |
| <ul> <li>(m) A copy of the SIPC Supplemental Report. (Bound under separate cover)</li> <li>(n) A report describing any material inadequacies found to exist or found to have existed since the date of the previous and the second secon</li></ul>  |   |   |
| (n) A report describing any material inadequacies found to exist or found to have existed since the date of the previous at   |   | under separate cover)   |
|   | (n) A report describing any material inadequacies found     | d to exist or found to have existed since the date of the previous at |
|   |   |   |

\*\* For conditions of confidential treatment of certain portions of this filing, see section 240.17a-5(e)(3).

,

## FUTURITY FIRST INVESTMENT SERVICES, INC.

## STATEMENT OF FINANCIAL CONDITION December 31, 2012

\_

---

| Assets  |              |
|---|--------------|
| Cash  | \$<br>41,043 |
| Commission receivable   | 847          |
| Prepaid expenses and other current assets   | 4,968        |
| Total Assets  | \$<br>46,858 |
| Liability   |              |
| Accrued expenses  | \$<br>2,174  |
| Due to related party  | <br>10,300   |
| Total liabilities   | <br>12,474   |
| Stockholder's Equity  |              |
| Common stock, \$.001 par value; 100 shares authorized; 100 shares issued and<br>outstanding | -            |
| Additional paid-in-capital  | 492,826      |
| Accumulated deficit   | (458,442)    |
| Total Stockholders Equity   | <br>34,384   |
| Fotal Liabilities & Stockholders Equity   | \$<br>46,858 |
|   | <br>         |

3



February 28, 2013

Ł

Securities and Exchange Commission Registrations Branch Mail Stop 8031 100 F Street, NE Washington, DC 20549

Re: Broker Dealer Annual Audit Report

To whom it may concern:

Pursuant to SEC Rule 17a-5(d)(1)(i) we are enclosing two copies of the Annual Audit Report for Futurity First Investment Services (CRD# 148024). Please contact me if you need any additional information.

Thank you.

Sincerely,

Michael Tanguay

Michael Tanguay U President Futurity First Investment Services

CC w/ enclosure: Boston Regional Office

SEC Mail Processing

MAR 0 1 2013

Washington DC 405