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ANNUAL AUDITED REPORT
PART III

CM

SEC FILE NUMBER
8-20629

FACING PAGE
Information Required of Brokers and Dealers Pursuant to Section 17 of the
Securities Exchange Act of 1934 and Rule 17a-5 Thereunder

REPORT FOR THE PERIOD BEGINNING 01/01/12 AND ENDING 12/31/12
MM/DD/YY MM/DD/YY

A. REGISTRANT IDENTIFICATION

NAME OF BROKER-DEALER: **KCCI, LTD**

OFFICIAL USE ONLY
FIRM I.D. NO.

ADDRESS OF PRINCIPAL PLACE OF BUSINESS: (Do not use P.O. Box No.)

66 York Street - 3rd Floor

(No. and Street)

Jersey City,
(City)

New Jersey
(State)

07302-3838
(Zip Code)

NAME AND TELEPHONE NUMBER OF PERSON TO CONTACT IN REGARD TO THIS REPORT

Sidney W. Azriliant

(212) 869-8223

(Area Code - Telephone Number)

B. ACCOUNTANT IDENTIFICATION

INDEPENDENT PUBLIC ACCOUNTANT whose opinion is contained in this Report*

Sidney W. Azriliant, CPA, P.C.

(Name - if individual, state last, first, middle name)

(212) 869-8223

501 Fifth Avenue, 15th Floor
(Address)

New York,
(City)

New York
(State)

10017
(Zip Code)

CHECK ONE:

Certified Public Accountant

Public Accountant

Accountant not resident in United States or any of its possessions.

FOR OFFICIAL USE ONLY

*Claims for exemption from the requirement that the annual report be covered by the opinion of an independent public accountant must be supported by a statement of facts and circumstances relied on as the basis for the exemption. See Section 240.17a-5(e)(2)

OATH OR AFFIRMATION

I, Mark A. Nauman, swear (or affirm) that, to the best of my knowledge and belief the accompanying financial statement and supporting schedules pertaining to the firm of KCCI, LTD, as of December 31, 2012, are true and correct. I further swear (or affirm) that neither the company nor any partner, proprietor, principal officer or director has any proprietary interest in any account classified solely as that of a customer, except as follows:

LYNN CUNEO ANDRES
Notary Public, State of New York
No. 01CU6051477
Qualified in Richmond County
Commission Expires December 4, 2014

Lynn Cuneo Andres
Notary Public

Mark A. Nauman
Signature

President
Title

This report ** contains (check all applicable boxes):

- (a) Facing Page.
- (b) Statement of Financial Condition.
- (c) Statement of Income (Loss).
- (d) Statement of Changes in Financial Condition.
- (e) Statement of Changes in Stockholders' Equity or Partners' or Sole Proprietors' Capital.
- (f) Statement of Changes in Liabilities Subordinated to Claims of Creditors.
- (g) Computation of Net Capital.
- ... (h) Computation for Determination of Reserve Requirements Pursuant to Rule 15c3-3.
- (i) Information Relating to the Possession or Control Requirements Under Rule 15c3-3.
- ... (j) A Reconciliation, including appropriate explanation of the Computation of Net Capital Under Rule 15c3-1 and the Computation for Determination of the Reserve Requirements Under Exhibit A of Rule 15c3-3.
- ... (k) A Reconciliation between the audited and unaudited Statements of Financial Condition with respect to methods of consolidation.
- (l) An Oath or Affirmation.
- (m) A copy of the SIPC Supplemental Report.
- (n) A report describing any material inadequacies found to exist or found to have existed since the date of the previous audit.
- (o) FORM 9901 - SUPPLEMENTAL STATEMENT OF INCOME

**For conditions of confidential treatment of certain portions of this filing, see section 240.17a-5(e)(3).

EXHIBIT A.
KCCI, LTD.
STATEMENT OF FINANCIAL CONDITION
As at December 31, 2012

ASSETS

Current Assets

Cash in the Bank	\$ 8,025	
Accounts Receivable	129,454	
Prepaid Expenses	10,970	
Deposit with Clearing Organization	200,030	
<u>Total Current Assets</u>		<u>\$ 348,479</u>

Other Assets

Security Deposit	\$ 7,817	
Receivables from Non-Customers	17,525	
<u>Total Other Assets</u>		<u>\$ 25,342</u>

TOTAL ASSETS

\$ 373,821

LIABILITIES AND STOCKHOLDERS' EQUITY

Current Liabilities

Accounts Payable and Accrued Expenses	\$ 167,712	
<u>Total Current Liabilities</u>		<u>\$ 167,712</u>

Commitments and Contingencies (Note 3)

Stockholders' Equity

Capital Stock Issued:		
200 Shares Authorized		
20 Shares Issued & Outstanding (npv)	\$ 20,000	
Additional Paid-In Capital	265,000	
Deficit	(78,891)	
<u>Total Stockholders' Equity</u>		<u>\$ 206,109</u>

TOTAL LIABILITIES & STOCKHOLDERS' EQUITY

\$ 373,821

The accompanying notes to financial statements are an integral part of this report.

Sidney W. Azriliant, CPA, P.C.