

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

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ANNUAL AUDITED REPORT  
FORM X-17A-5  
PART III

SEC  
Mail Processing  
Section

MAR - 1 2013

SEC FILE NUMBER  
8- 68037

FACING PAGE  
Information Required of Brokers and Dealers Pursuant to Section 17 of the  
Securities Exchange Act of 1934 and Rule 17a-5 Thereunder

Washington, DC  
402

REPORT FOR THE PERIOD BEGINNING January 1, 2012 AND ENDING December 31, 2012  
MM/DD/YY MM/DD/YY

A. REGISTRANT IDENTIFICATION

NAME OF BROKER-DEALER: Prestwick Partners, LLC

OFFICIAL USE ONLY  
FIRM I.D. NO.

ADDRESS OF PRINCIPAL PLACE OF BUSINESS: (Do not use P.O. Box No.)

212 Third Avenue North, #535

(No. and Street)

Minneapolis

(City)

MN

(State)

55401

(Zip Code)

NAME AND TELEPHONE NUMBER OF PERSON TO CONTACT IN REGARD TO THIS REPORT

Fritz Richards

612.339.6115

(Area Code - Telephone Number)

B. ACCOUNTANT IDENTIFICATION

INDEPENDENT PUBLIC ACCOUNTANT whose opinion is contained in this Report\*

Cliff Larson Allen LLP

(Name - if individual, state last, first, middle name)

220 South Sixth St., #300

(Address)

Minneapolis

(City)

MN

(State)

55402

(Zip Code)

CHECK ONE:

- Certified Public Accountant
- Public Accountant
- Accountant not resident in United States or any of its possessions.

FOR OFFICIAL USE ONLY

\*Claims for exemption from the requirement that the annual report be covered by the opinion of an independent public accountant must be supported by a statement of facts and circumstances relied on as the basis for the exemption. See Section 240.17a-5(e)(2)

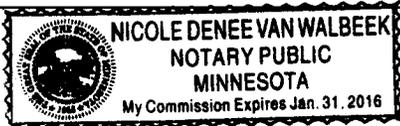
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## OATH OR AFFIRMATION

I, Fritz Richards, swear (or affirm) that, to the best of my knowledge and belief the accompanying financial statement and supporting schedules pertaining to the firm of \_\_\_\_\_, as of December 31, 2012, are true and correct. I further swear (or affirm) that neither the company nor any partner, proprietor, principal officer or director has any proprietary interest in any account classified solely as that of a customer, except as follows:

\_\_\_\_\_

\_\_\_\_\_



[Signature]  
Signature  
CFO + Treasurer  
Title

Nicole VanWalbeek  
Notary Public

This report \*\* contains (check all applicable boxes):

- (a) Facing Page.  
 (b) Statement of Financial Condition.  
 (c) Statement of Income (Loss).  
 (d) Statement of Changes in Financial Condition.  
 (e) Statement of Changes in Stockholders' Equity or Partners' or Sole Proprietors' Capital.  
 (f) Statement of Changes in Liabilities Subordinated to Claims of Creditors.  
 (g) Computation of Net Capital.  
 (h) Computation for Determination of Reserve Requirements Pursuant to Rule 15c3-3.  
 (i) Information Relating to the Possession or Control Requirements Under Rule 15c3-3.  
 (j) A Reconciliation, including appropriate explanation of the Computation of Net Capital Under Rule 15c3-1 and the Computation for Determination of the Reserve Requirements Under Exhibit A of Rule 15c3-3.  
 (k) A Reconciliation between the audited and unaudited Statements of Financial Condition with respect to methods of consolidation.  
 (l) An Oath or Affirmation.  
 (m) A copy of the SIPC Supplemental Report.  
 (n) A report describing any material inadequacies found to exist or found to have existed since the date of the previous audit.

\*\*For conditions of confidential treatment of certain portions of this filing, see section 240.17a-5(e)(3).

**PRESTWICK PARTNERS, LLC  
BALANCE SHEET  
DECEMBER 31, 2012**

**ASSETS**

**CURRENT ASSETS**

Cash and Cash Equivalents	\$ 644,323
Accounts Receivable	6,721
Total Current Assets	<u>651,044</u>

**PROPERTY, PLANT AND EQUIPMENT, NET**

17,211

**OTHER ASSETS**

2,000

Total Assets

\$ 670,255

**LIABILITIES AND MEMBERS' EQUITY**

**LIABILITIES**

Other Liabilities	\$ 18,997
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**MEMBERS' EQUITY**

651,258

Total Liabilities and Members' Equity

\$ 670,255

*See accompanying Notes to Financial Statements.*