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UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

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ANNUAL AUDITED REPORT
FORM X-17A-5
PART III

SEC FILE NUMBER
8-68360

FACING PAGE

Information required of Brokers and Dealers Pursuant to Section 17 of the
Securities Exchange Act of 1934 and Rule 17a-5 Thereunder

REPORT FOR THE PERIOD BEGINNING 01/01/12 AND ENDING 12/31/12
mm/dd/yy mm/dd/yy

X

A. REGISTRANT IDENTIFICATION

NAME OF BROKER-DEALER: TFA Securities, Inc.
ADDRESS OF PRINCIPAL PLACE OF BUSINESS: (Do not use P.O. Box No.)
909 N. Sepulveda Blvd., Suite 950
(No. and Street)
El Segundo California 90245
(City) (State) (Zip Code)

OFFICIAL USE ONLY
FIRM I.D. NO.

NAME AND TELEPHONE NUMBER OF PERSON TO CONTACT IN REGARD TO THIS REPORT
William Crader (310) 341-2336
(Area Code - Telephone Number)

B. ACCOUNTANT IDENTIFICATION

INDEPENDENT PUBLIC ACCOUNTANT whose opinion is contained in this Report*

Ernst Wintter & Associates, Certified Public Accountants
(Name - if individual, state last, first, middle name)

675 Ygnacio Valley Road, Suite A200 Walnut Creek California 94596
(Address) (City) (State) (Zip Code)

CHECK ONE:

- Certified Public Accountant
- Public Accountant
- Accountant not resident in United States or any of its possessions.

FOR OFFICIAL USE ONLY

*Claims for exemption from the requirement that the annual report be covered by the opinion of an independent public accountant must be supported by a statement of facts and circumstances relied on as the basis for the exemption. See Section 240.17a-5(e)(2).

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TFA Securities, Inc.
(SEC ID No. 8-68360)

Annual Audit Report

December 31, 2012

PUBLIC DOCUMENT

Filed Pursuant to Rule 17-A-5(E)(3) as a Public Document

ERNST WINTER & ASSOCIATES
Certified Public Accountants

OATH OR AFFIRMATION

I, William Crader, swear (or affirm) that, to the best of my knowledge and belief the accompanying financial statement and supporting schedules pertaining to the firm of TFA Securities, Inc., as of December 31, 2012, are true and correct. I further swear (or affirm) that neither the company nor any partner, proprietor, principal officer or director has any proprietary interest in any account classified solely as that of a customer, except as follows:

NONE

State of California,
County of Los Angeles

Subscribed and sworn to (or affirmed) before me on this 26th day of Feb, 2013, by William Crader, personally known to me or proved to me on the basis of satisfactory evidence to be the person(s) who appeared before me.

Signature [Signature] Notary Public

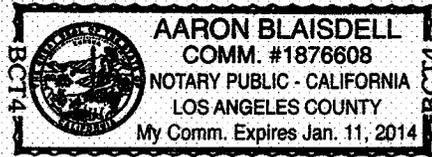
[Signature]

Signature

DIRECTOR, CEO and FINOP

Title

[Signature] Notary Public



This report** contains (check all applicable boxes):

- (a) Facing page [checked]
(b) Statement of Financial Condition [checked]
(c) Statement of Income (Loss) [unchecked]
(d) Statement of Cash Flows [unchecked]
(e) Statement of Changes in Stockholders' Equity or Partners' or Sole Proprietor's Capital [unchecked]
(f) Statement of Changes in Liabilities Subordinated to Claims of Creditors [unchecked]
(g) Computation of Net Capital [unchecked]
(h) Computation for Determination of Reserve Requirements Pursuant to Rule 15c3-3 [unchecked]
(i) Information Relating to the Possession or control Requirements Under Rule 15c3-3 [unchecked]
(j) A Reconciliation, including appropriate explanation, of the Computation of Net Capital Under Rule 15c3-1 and the Computation for Determination of the Reserve Requirement Under Exhibit A of Rule 15c3-3 [unchecked]
(k) A Reconciliation between the audited and unaudited Statements of Financial Condition with respect to methods of consolidation [unchecked]
(l) An Oath or Affirmation [unchecked]
(m) A copy of the SIPC Supplemental Report [unchecked]
(n) A report describing any material inadequacies found to exist or found to have existed since the date of the previous audit [unchecked]
(o) Independent Auditor's Report on Internal Accounting Control [unchecked]

**For conditions of confidential treatment of certain portions of this filing, see section 240.17a-5(e)(3).

TFA Securities, Inc.

December 31, 2012

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675 Ygnacio Valley Road, Suite A200
Walnut Creek, CA 94596

(925) 933-2626
Fax (925) 944-6333

Independent Auditor's Report

To the Stockholder
TFA Securities, Inc.
El Segundo, California

Report on the Financial Statements

We have audited the accompanying statement of financial condition of TFA Securities, Inc., (the "Company") as of December 31, 2012, that is filed pursuant to Rule 17a-5 under the Securities Exchange Act of 1934, and the related notes.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of this financial statement in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of the financial statement that is free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on this financial statement based on our audit. We conducted our audit in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statement is free of material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statement. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statement, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the Company's preparation and fair presentation of the financial statement in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Company's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statement.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Opinion

In our opinion, the statement of financial condition referred to above present fairly, in all material respects, the financial position of TFA Securities, Inc. as of December 31, 2012, in accordance with accounting principles generally accepted in the United States of America.

 + Associates

February 20, 2013

TFA Securities, Inc.

Statement of Financial Condition

December 31, 2012

Assets	
Cash	\$ 99,748
Accounts receivable	51,567
Total Assets	\$ 151,315
Liabilities and Stockholder's Equity	
Due to stockholder	\$ 1,937
Total Liabilities	1,937
Stockholder's Equity	
Common stock (no par value; 1,000,000 authorized; 324,000 shares issued and outstanding)	50,000
Retained earnings	99,378
Total Stockholder's Equity	149,378
Total Liabilities and Stockholder's Equity	\$ 151,315

See independent auditor's report and accompanying notes.

TFA Securities, Inc.

Notes to the Financial Statements

December 31, 2012

1. Organization

TFA Securities, Inc. (the "Company") was organized as a California S corporation in April 2009. The Company is owned by its sole stockholder, Tribal Financial Advisors, Inc. ("TFA"), and has offices located in El Segundo, California and Charlotte, North Carolina. The Company is a securities broker dealer and registered with the Securities and Exchange Commission ("SEC") and the Financial Industry Regulatory Authority ("FINRA") in October 2010. The Company primarily engages in private placement services to Native American tribes.

2. Significant Accounting Policies

Cash and Cash Equivalents

The Company considers all demand deposits held in banks and certain highly liquid investments with original maturities of three months or less, other than those held for sale in the ordinary course of business, to be cash equivalents.

Revenue Recognition

Investment banking revenues are primarily earned from providing private placement advisory services. In addition the firm has the capability to provide financial advisory services related to securities transactions. Revenue is recognized when earned either by fee contract or the success of a predetermined specified event and the income is reasonably determinable.

Use of Estimates

The preparation of financial statements in accordance with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities at the date of the financial statements and the reported amounts of revenues and expenses during the reporting period. Actual results could differ from those estimates and may have an impact on future periods.

Fair Value of Financial Instruments

Unless otherwise indicated, the fair values of all reported assets and liabilities that represent financial instruments approximate the carrying values of such amounts.

Income Taxes

The Company has elected under the Internal Revenue Code to be treated as an S corporation. In lieu of corporate income taxes, the Company's income or loss is generally passed through to the shareholder's federal and state tax returns. However, the Company is liable for California franchise tax on S corporations.

Deferred income taxes are recognized for differences between the basis of assets and liabilities for financial statement and income tax purposes. The deferred tax assets and liabilities represent the future tax return consequences of those differences, which will either be taxable or deductible when the assets and liabilities are recovered or settled. Deferred tax assets and liabilities are not significant.

3. Net Capital Requirements

The Company is subject to the SEC's uniform net capital rule (Rule 15c3-1) which requires the Company to maintain a minimum net capital equal to or greater than \$5,000 and a ratio of aggregate indebtedness to net capital not exceeding 15 to 1, both as defined. At December 31, 2012, the Company's net capital was \$97,811, which exceeded the requirement by \$92,811.

TFA Securities, Inc.

Notes to the Financial Statements

December 31, 2012

4. Risk Concentration

At December 31, 2012, 100% of accounts receivable was related to one client.

5. Related Party Transactions

The Company has an expense sharing agreement with TFA. TFA provides office space and pays most overhead expenses for the Company. The Company has no obligation to reimburse or compensate TFA. The Company's results of operations and financial position could differ significantly from those that would have been obtained if the entities were autonomous.

As of December 31, 2012, \$1,937 was due to TFA for expenses paid on behalf of Company.

6. Subsequent Events

The company amended its December 31, 2012 FOCUS report on February 15, 2013 to reflect a change in non-allowable assets.

The Company has evaluated subsequent events through February 20, 2013, the date which the financial statements were available to be issued.