

PUBLIC



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SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

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ANNUAL AUDITED REPORT
FORM X-17A-5
PART III

SEC FILE NUMBER
8-68846

FACING PAGE

Information Required of Brokers and Dealers Pursuant to Section 17 of the
Securities Exchange Act of 1934 and Rule 17a-5 Thereunder

REPORT FOR THE PERIOD BEGINNING DECEMBER 1, 2011 AND ENDING DECEMBER 31, 2012
MM/DD/YY MM/DD/YY

A. REGISTRANT IDENTIFICATION

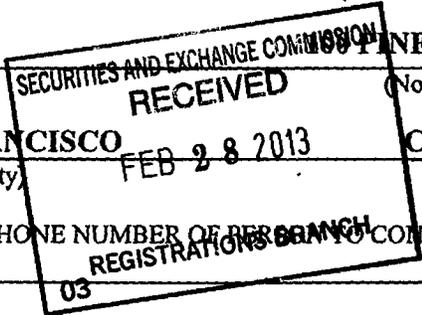
EAST WEST SECURITIES CORP.

NAME OF BROKER DEALER:

OFFICIAL USE ONLY

ADDRESS OF PRINCIPAL PLACE OF BUSINESS: (Do not use P.O. Box No.)

FIRM ID. NO.



100 PINE STREET #500

(No. and Street)

SAN FRANCISCO
(City)

CALIFORNIA
(State)

94111
(Zip Code)

NAME AND TELEPHONE NUMBER OF PERSON OR CONTACT IN REGARD TO THIS REPORT

ECHO CHIEN

415-397-3400

(Area Code - Telephone No.)

B. ACCOUNTANT DESIGNATION

INDEPENDENT PUBLIC ACCOUNTANT whose opinion is contained in this Report*

OHAB AND COMPANY, PA

(Name - if individual, state last, first, middle name)

100 E. SYBELIA AVENUE, SUITE 130, MAITLAND
(Address and City)

FLORIDA
(State)

32751
(Zip Code)

CHECK ONE:

- Certified Public Accountant
- Public Accountant
- Accountant not resident in United States or any of its Possessions

FOR OFFICIAL USE ONLY

*Claims for exemption from the requirement that the annual audit be covered by the opinion of an independent public accountant must be supported by a statement of facts and circumstances relied on as the basis for the exemption. See section 240.17a-5(e)(2).

Potential persons who are to respond to the collection of information contained in this form are required to respond unless the form displays a current valid OMB control number.

SEC 1410 (06-02)

EM
3/9/12

OATH OR AFFIRMATION

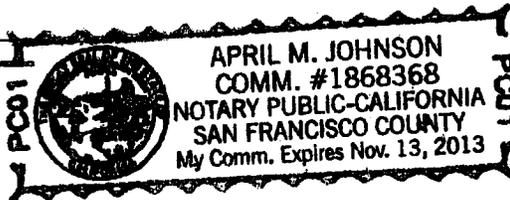
I, ECHO CHIEN, swear (or affirm) that, to the best of my knowledge and belief the accompanying financial statement and supporting schedules pertaining to the firm or EAST WEST SECURITIES CORP., as of December 31, 2012 are true and correct. I further swear (or affirm) that neither the company nor any partner, proprietor, principal officer or director has any proprietary interest in any account classified solely as that of a customer, except as follows:

State of California, County of San Francisco.
Subscribed and sworn to before me this 25
Day of Feb, 2012, by
ECHO CHIEN
proved to me on
the basis of satisfactory evidence to be the
person(s) who appeared before me.

[Signature]
Signature

PRESIDENT
Title

[Signature]
Public Notary
Notary Public, California



This report** contains (check all applicable boxes);

- (a) Facing page.
- (b) Statement of Financial Condition.
- (c) Statement of Income (Loss).
- (d) Statement of Changes in Financial Condition.
- (e) Statement of Changes in Stockholders' Equity or Partners' or Sole Proprietor's Capital.
- (f) Statement of changes in Liabilities Subordinated to Claims of Creditors.
- (g) Computation of Net Capital.
- (h) Computation for Determination of Reserve Requirements Pursuant to Rule 15c3-3.
- (i) Information Relating to the Possession or control Requirements Under Rule 15c3-3.
- (j) A Reconciliation, including appropriate explanation, of the Computation of Net Capital Under Rule 15c3-1 and the Computation for Determination of the Reserve Requirements under Exhibit A of Rule 15c3-1.
- (k) A Reconciliation between the audited and unaudited Statements of Financial Condition with respect to methods of consolidation.
- (l) An Oath or Affirmation.
- (m) A copy of the SIPC Supplemental Report.
- (n) A report describing any material inadequacies found to exist or found to have existed since the date of the previous audit.

** For conditions of confidential treatment of certain portions of this filing, see section 240.17a-5(e)(3).

EAST/WEST SECURITIES CORPORATION
STATEMENT OF FINANCIAL CONDITION
AS OF DECEMBER 31, 2012

ASSETS

Assets

Cash and cash equivalents	\$ 86,356
Clearing deposits with clearing brokers	50,000
Receivables from broker-dealers	73,450
Other receivables	12,214
Prepaid expenses and other assets	<u>6,372</u>
Total assets	<u><u>\$ 228,392</u></u>

LIABILITIES AND SHAREHOLDERS' EQUITY

Liabilities

Accounts payable	\$ 25,243
Income Tax Payable	3,717
Payable to Broker Dealer	283
Commissions payable	<u>68,091</u>
Total liabilities	<u>97,334</u>

Shareholders' Equity

Common stock, no par value, 75,000 shares authorized, 75,000 shares issued and outstanding	118,000
Retained earnings	<u>13,058</u>
Shareholders' equity	<u>131,058</u>
Total liabilities and shareholders' equity	<u><u>\$ 228,392</u></u>

The accompanying notes are an integral part of these financial statements