



12080153

SECURITIES AND EXCHANGE COMMISSION

INVITED

12/27/2012

091-15093 T.W.

For Internal Use Only Sec File No. 91 -	Submit 1 Original and 9 Copies	OMB Approval No.:
		Expires:
Estimated Burden Hours per Response: 2.00		
UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 FORM 19b-4(e)		
Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934		
READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM		
Part I Initial Listing Report		
1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:		
NYSE Arca, Inc.		
2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):		
Open-end Management Investment Company		
3. Class of New Derivative Securities Product:		
Investment Company Units		
4. Name of Underlying Instrument:		
GS Momentum Builder Asia ex-Japan Equities and U.S. Treasuries Index		
5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:		
Broad Based		
6. Ticker Symbol(s) of New Derivative Securities Product:		
GSAX		
7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:		
NYSE ARCA		
8. Settlement Methodology of New Derivative Securities Product:		
Regular way trades settle on T+3/Book entry only held in DTC.		
9. Position Limits of New Derivative Securities Product (if applicable):		
Not applicable.		
Part II Execution		
The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.		
Name of Official Responsible for Form:		
Janet L. McGinness	Act	Securities Exchange Act of 1934
Title:	Section	19b-4
Corporate Secretary	Rule	19b-4(e)
Telephone Number:	Public	
(212) 656-2039	Availability:	DEC 27 2012
Manual Signature of Official Responsible for Form:		
December 20, 2012		

SEC 2449 (1/99)

Janet McGinness
Corporate Secretary



NYSE Arca, Inc.
11 Wall Street
New York, NY 10005

tel: 212.656.2039
fax: 212.656.8101
jmcginness@nyx.com

SECURITIES AND EXCHANGE COMMISSION

RECEIVED

DEC 27 2012

DIVISION OF TRADING & MARKETS

Via Overnight Mail

December 20, 2012

Ms. Gail Jackson
US Securities and Exchange Commission
Division of Trading and Markets
Station Place – Building I
100 F Street, N.E. – Room 6628
Washington, DC 20549

**Re: ALPS/GS Momentum Builder Growth Markets Equities and
U.S. Treasuries Index ETF
ALPS/GS Momentum Builder Multi-Asset Index ETF
ALPS/GS Momentum Builder Asia ex-Japan Equities and
U.S. Treasuries Index ETF
ALPS/GS Risk-Adjusted Return U.S. Large Cap Index ETF**

Dear Ms. Jackson:

Enclosed for filing pursuant to Section 19(b) of the Securities Exchange Act of 1934 and Rule 19b-4 thereunder, please find an original and nine copies of Form 19b-4(e) with respect to the securities listed below.

ALPS/GS Momentum Builder Growth Markets Equities and U.S. Treasuries Index ETF	GSGO
ALPS/GS Momentum Builder Multi-Asset Index ETF	GSMA
ALPS/GS Momentum Builder Asia ex-Japan Equities and U.S. Treasuries Index ETF	GSAX
ALPS/GS Risk-Adjusted Return U.S. Large Cap Index ETF	GSRA

If you have any questions, please do not hesitate to call me at (212) 656-2039.

Sincerely,

Enclosures

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	DEC 27 2012