

691-18090 P.W.

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CEC 2000 OF TRADING ENMA	SECURI	UNITED STATE TIES AND EXCHANGE Washington, D.C. 20 FORM 19b-4(e	E COMMISSION 549 :)	
Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934 READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM				
1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:				
NYSE Arca, Inc.				
2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):				
Open-end Management Investment Company				
3. Class of New Derivative Securities Product:				
Investment Company Units				
4. Name of Underlying Instrument:				
Northern Trust Quality Dividend Defensive Index				
5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:				
Broad Based				
6. Ticker Symbol(s) of New Derivative Securities Product:				
QDEF				
7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:				
NYSE; NASDAQ				
8. Settlement Methodology of New Derivative Securities Product:				
Regular way trades settle on T+3/Book entry only held in DTC.				
9. Position Limits of New Derivative Securities Product (if applicable):				
Not applicable.				
Part II Execution				
The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.				
Name of Official Responsible for Form:				
Janet L. McGinness				
Title:			Act	Sections
Corporate Secretary				Securities Exchange Act of 1934
Telephone Number:			Section	19b-4
(212) 656-2039			Rule	19b-4(e)
Manual Signature of Official Responsible for Form:				
Janu	4 mas	men	Availability:	DEC 2 0 2012
December 19, 2012 /				
SEC 2449 (1/99)				

Janet McGinness
Corporate Secretary



NYSE Arca, Inc. 11 Wall Street New York, NY 10005

tel: 212.656.2039 fax: 212.656.8101 jmcginness@nyx.com

SECURITIES AND EXCHANGE COMMISSION

RECORDINGS

DEC 2012

DIVISION OF TRADING & MARKETS

Via Overnight Mail

December 19, 2012

Ms. Gail Jackson
US Securities and Exchange Commission
Division of Trading and Markets
Station Place – Building I
100 F Street, N.E. – Room 6628
Washington, DC 20549

FlexShares Quality Dividend Index Fund

FlexShares Quality Dividend Defensive Index Fund FlexShares Quality Dividend Dynamic Index Fund

Dear Ms. Jackson:

Re:

Enclosed for filing pursuant to Section 19(b) of the Securities Exchange Act of 1934 and Rule 19b-4 thereunder, please find an original and nine copies of Form 19b-4(e) with respect to the securities listed below.

• FlexShares Quality Dividend Index Fund

MAMCHINNES

QDF

FlexShares Quality Dividend Defensive Index Fund

QDEF

FlexShares Quality Dividend Dynamic Index Fund

ODYN

If you have any questions, please do not hesitate to call me at (212) 656-2039.

Sincerely,

Enclosures

Act Securities Exchange Act of 1934

Section 195-4
Rule 195-4(c)

Public Availability: DEC 2 0 2012