



For Internal Use Only ОМВ Submit 1 Original Sec File No. 91 and 9 Copies ANGE CON Expires: Estimated average burden hours per response: 2.00 **UNITED STATES** DEC 50 KAIS SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 FORM 19b-4(e)

NIVISION OF TRANSPORTED TRANSPORTED TO THE PRODUCT PURSUANT TO Rule 19b-4(e) Under the Securities Exchange Act of 1934 READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Part I **Initial Listing Report** 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: NYSE Arca, Inc. 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): **Open-end Management Investment Company** 3. Class of New Derivative Securities Product: **Investment Company Units** 4. Name of Underlying Instrument: Northern Trust Quality Dividend Index 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: Broad Based 6. Ticker Symbol(s) of New Derivative Securities Product: ODF 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: NYSE; NASDAO Settlement Methodology of New Derivative Securities Product: Regular way trades settle on T+3/Book entry only held in DTC. 9. Position Limits of New Derivative Securities Product (if applicable): Not applicable. Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Janet L. McGinness Title: Corporate Secretary Telephone Number: 212) 656-2039 Manual Signature of Official Responsible for Form: Act Securities Exchange Act of 1934 Section 19b-4 Kule December 19, 2012 19b-4(e) SEC 2449 (1/99) Public DEC 2 0 2012 Availability:

Janet McGinness Corporate Secretary



NYSE Arca, Inc. 11 Wall Street New York, NY 10005

tel: 212.656.2039 fax: 212.656.8101 jmcginness@nyx.com

SECURITIES AND DICHANGE COMMISSION

RESCHENCES VIOLE

DEC 202012

DIVISION OF TRADING & MARKETS

Via Overnight Mail

December 19, 2012

Ms. Gail Jackson
US Securities and Exchange Commission
Division of Trading and Markets
Station Place – Building I
100 F Street, N.E. – Room 6628
Washington, DC 20549

FlexShares Quality Dividend Index Fund

FlexShares Quality Dividend Defensive Index Fund FlexShares Quality Dividend Dynamic Index Fund

Dear Ms. Jackson:

Re:

Enclosed for filing pursuant to Section 19(b) of the Securities Exchange Act of 1934 and Rule 19b-4 thereunder, please find an original and nine copies of Form 19b-4(e) with respect to the securities listed below.

• FlexShares Quality Dividend Index Fund

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QDF

• FlexShares Quality Dividend Defensive Index Fund

QDEF

• FlexShares Quality Dividend Dynamic Index Fund

QDYN

If you have any questions, please do not hesitate to call me at (212) 656-2039.

Sincerely,

Enclosures

Act Securities Exchange Act of 1934

Section 19b-4
Rule 19b-4(e)

Public Availability: DEC 2 0 2012