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SECURITIES AND EXCHANGE COMMISSION  
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DIVISION OF TRADING & MARKETS

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, D.C. 20549  
AMENDED FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

**READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM**

**Part I Initial Listing Report**

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:

**EDGX Exchange, Inc.**

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):

**Royal Bank of Scotland plc**

3. Class of New Derivative Securities Product:

**Investment Company Unit**

4. Name of Underlying Instrument:

**RGRC: RICI Enhanced Commodity Total Return Index;  
RGRA: RICI Enhanced Agriculture Total Return Index;  
RGRE: RICI Enhanced Energy Total Return Index;  
RGRP: RICI Enhanced Precious Metals Total Return Index;  
RGRI: RICI Enhanced Industrial Metals Total Return Index**

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based:

**The 5 indexes referred to in item 4 above are Narrow-Based**

6. Ticker Symbol(s) of New Derivative Securities Product:

**RGRC, RGRA, RGRE, RGRP, RGRI**

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

**RGRC: ARCA  
RGRA: ARCA  
RGRE: ARCA  
RGRP: ARCA  
RGRI: ARCA**

*(Please note, underlying components may trade on additional exchanges in countries/regions not mentioned here.)*

8. Settlement Methodology of New Derivative Securities Product:

**T+3, Physical Settlement**

9. Position Limits of New Derivative Securities Product (if applicable):

**Not Applicable**

**Part II Execution**

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form: **Jeffrey S. Rosenstruck**

Title: **General Counsel**

Telephone Number: **201 546 7295**

Manual Signature of Official Responsible for Form: **[Signature]**

Date: **November 19, 2012**

**Securities Exchange Act of 1934**  
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