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UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I

Initial Listing Report

- Name of Self-Regulatory Organization Listing New Derivative Securities Product:  
Chicago Stock Exchange
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
Trust
- Class of New Derivative Securities Product:  
Ownership of the Trust
- Name of Underlying Instrument:  
FlexShares Morningstar Global Upstream Natural Resources Index Fund ETF
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
Narrow-Based
- Ticker Symbol(s) of New Derivative Securities Product:  
GUNR
- Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  
Various
- Settlement Methodology of New Derivative Securities Product:  
See Prospectus
- Position Limits of New Derivative Securities Product (if applicable):  
See Prospectus

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Steven I. Givot

Title:

Vice-President - Strategy and Product Management

Telephone Number:

(312) 663-2261

Manual Signature of Official Responsible for Form:

Date:

November 9, 2012

Act Securities Exchange Act of 1934  
Section 19b-4  
Rule 19b-4(e)  
Public Availability: NOV 14 2012



**Chicago Stock Exchange**

November 9, 2012

**By Federal Express**

Ms. Gayle S. Jackson  
Division of Trading and Markets  
Securities and Exchange Commission  
100 F Street NE – Stop 7010  
Washington, DC 20549

**Re: Forms 19b-4(e) for various ETFs**

Dear Ms. Jackson:

Pursuant to Rule 19b-4(e) of the Securities Exchange Act of 1934, I enclose for filing an original and nine copies of the Forms 19b-4(e) relating to the following ETFs and ETNs:

AGEM	EGShares GEMS Composite ETF	
AGLS	Accuvest Global Long Short ETF	
BICK	First Trust BICK Index Fund ETF	
FGEM	EGShares Financials GEMS ETF	
FNIO	Ishares FTSE NATEIT Industrial Office Cap Index	
FVD	First Trust Value Line Dividend ETF	
FVI	First Trust Value Line Equity Allocation ETF	
GUNR	FlexShares Morningstar Global Upstream Natural Resources Index Fund ETF	
GVT	Columbia Concentrated Large Cap Value Strategy ETF	
JUNR	Global X Junior Miners ETF	
NKY	Precidian MAXIS Nikkei 225 Index ETF	
OGEM	EGShares Energy GEMS ETF	

<b>Securities Exchange Act of 1934</b>	
Section	19b-4
Rule	19b-4(e)
Public Availability:	NOV 14 2012

**If you have any questions about this filing, please contact me at (312) 663-2261.**

**Sincerely,**

A handwritten signature in black ink, appearing to read "S. Givot", written in a cursive style.

**Steven I. Givot  
Vice President – Strategy and Product Management**

**Enclosures**