

09U-15056 P.10.

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UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

12006533

### FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

#### Part I

#### Initial Listing Report

- Name of Self-Regulatory Organization Listing New Derivative Securities Product:  
**Chicago Stock Exchange**
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
**Trust**
- Class of New Derivative Securities Product:  
**Ownership of the Trust**
- Name of Underlying Instrument:  
**EGShares GEMS Composite ETF**
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
**Narrow-Based**
- Ticker Symbol(s) of New Derivative Securities Product:  
**AGEM**
- Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  
**Various**
- Settlement Methodology of New Derivative Securities Product:  
**See Prospectus**
- Position Limits of New Derivative Securities Product (if applicable):  
**See Prospectus**

#### Part II

#### Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

**Steven I. Givot**

Title:

**Vice-President - Strategy and Product Management**

**Act Securities Exchange Act of 1934**

Telephone Number:

**(312) 663-2261**

**Section 19b-4**

**Rule 19b-4(e)**

Manual Signature of Official Responsible for Form:

**Public Availability: NOV 14 2012**

Date:

**November 9, 2012**



Chicago Stock Exchange

November 9, 2012

**By Federal Express**

Ms. Gayle S. Jackson  
Division of Trading and Markets  
Securities and Exchange Commission  
100 F Street NE – Stop 7010  
Washington, DC 20549

**Re: Forms 19b-4(e) for various ETFs**

Dear Ms. Jackson:

Pursuant to Rule 19b-4(e) of the Securities Exchange Act of 1934, I enclose for filing an original and nine copies of the Forms 19b-4(e) relating to the following ETFs and ETNs:

|      |   |
|------|---|
| AGEM | EGShares GEMS Composite ETF   |
| AGLS | Accuvest Global Long Short ETF  |
| BICK | First Trust BICK Index Fund ETF   |
| FGEM | EGShares Financials GEMS ETF  |
| FNIO | Ishares FTSE NATEIT Industrial Office Cap Index                         |
| FVD  | First Trust Value Line Dividend ETF                                     |
| FVI  | First Trust Value Line Equity Allocation ETF                            |
| GUNR | FlexShares Morningstar Global Upstream Natural Resources Index Fund ETF |
| GVT  | Columbia Concentrated Large Cap Value Strategy                          |
| JUNR | Global X Junior Miners ETF  |
| NKY  | Precidian MAXIS Nikkei 225 Index ETF                                    |
| OGEM | EGShares Energy GEMS ETF  |

|                      |                                 |
|----------------------|---------------------------------|
| Act                  | Securities Exchange Act of 1934 |
| Section              | 19b-4                           |
| Rule                 | 19b-4(e)                        |
| Public Availability: | NOV 14 2012                     |

If you have any questions about this filing, please contact me at (312) 663-2261.

Sincerely,

A handwritten signature in black ink, appearing to read "S. Sivot", written in a cursive style.

Steven I. Sivot  
Vice President – Strategy and Product Management

Enclosures