

COPY

091-14618 P.W.

For Internal Use Only  
Sec File No. 9

Submit 1 Original  
and 4 copies



MB APPROVAL  
MB Number: 3235-0504  
Expires July 31, 2004  
Estimated average burden  
per response: 2.00

RECEIVED  
2012 NOV 14 AM 10:28  
SEC / TM

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, D.C. 20549  
AMENDED FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:

EDGX Exchange, Inc.

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):

SSgA Funds Management, Inc

3. Class of New Derivative Securities Product:

Investment Company Unit

4. Name of Underlying Instrument:

VLU: S&P 1500 Low Valuation Tilt Index  
MMTM: S&P 1500 Positive Momentum Tilt Index

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based:

The 2 indexes referred to in item 4 above are Narrow-Based

6. Ticker Symbol(s) of New Derivative Securities Product:

VLU, MMTM

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

VLU: ARCA  
MMTM: ARCA

8. Settlement Methodology of New Derivative Securities Product:

T+3, Physical Settlement

9. Position Limits of New Derivative Securities Product (if applicable):

Not Applicable

Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form: Jeffrey S. Rosenstock

Title: General Counsel

Telephone Number: 202-942-9295

Manual Signature of Official Responsible for Form: [Signature]

Date: October 26, 2012

SECURITIES EXCHANGE ACT OF 1934  
19b-4  
October 26, 2012  
Rule 19b-4(e)  
Public Availability: NOV 14 2012