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SECURITIES AND EXCHANGE COMMISSION
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AUG 21 2012
DIVISION OF TRADING & MARKETS

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549
AMENDED FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:
EDGX Exchange, Inc.

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):
First Trust Advisors L.P.

3. Class of New Derivative Securities Product:
Investment Company Unit

4. Name of Underlying Instrument:

TDIV: NASDAQ Technology Dividend Index

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based:
The 1 index referred to in item 4 above is Narrow-Based

6. Ticker Symbol(s) of New Derivative Securities Product:
TDIV

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

TDIV: AMEX, ARCA, NASDAQ, NYSE

8. Settlement Methodology of New Derivative Securities Product:
T+3, Physical Settlement

9. Position Limits of New Derivative Securities Product (if applicable):
Not Applicable

Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form: **Jeffrey S. Rosenstock**

Title: **General Counsel**

Telephone Number: **201-942-8295**

Manual Signature of Official Responsible for Form: *J. Rosenstock*

Date: **August 20, 2012**

Securities Exchange Act of 1934
Section 19b-4
August 20, 2012 19b-4(e)
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