

091-15003 fm

For Internal Use Only  
Sec File No. 9-

Submit 1 Original  
and 9 Copies

OMB APPROVAL	
OMB Number:	3235-0504
Expires:	August 31, 2013
Estimated average burden hours per response:	3.60

SECURITIES AND EXCHANGE COMMISSION  
 RECEIVED  
 SEP 18 2012  
 DIVISION OF TRADING & MARKETS

UNITED STATES  
 SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549

**FORM 19b-4(e)**

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

**Part I Initial Listing Report**



- Name of Self-Regulatory Organization Listing New Derivative Securities Product:  
National Stock Exchange, Inc.
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
Trust
- Class of New Derivative Securities Product:  
Equity
- Name of Underlying Instrument:  
ProShares UltraShort Australian Dollar
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
Broad-based
- Ticker Symbol(s) of New Derivative Securities Product:  
CROC
- Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  
Domestic Stock Exchanges
- Settlement Methodology of New Derivative Securities Product:  
Cash
- Position Limits of New Derivative Securities Product (if applicable):

**Part II Execution**

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:  
Susan Ameal

Title:  
Chief Regulatory Officer

Telephone Number:  
201-499-1064

Manual Signature of Official Responsible for Form:

Date:  
September 17, 2012

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	SEP 18 2012

091-15004jn

For Internal Use Only  
Sec File No. 9-

Submit 1 Original  
and 9 Copies

OMB APPROVAL	
OMB Number:	3235-0504
Expires:	August 31, 2013
Estimated average burden hours per response.....	3.60

SECURITIES AND EXCHANGE COMMISSION  
 RECEIVED  
 SEP 18 2012  
 DIVISION OF TRADING & MARKETS

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

**FORM 19b-4(e)**

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

**READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM**

**Part I Initial Listing Report**



- Name of Self-Regulatory Organization Listing New Derivative Securities Product:  
National Stock Exchange, Inc.
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
Trust
- Class of New Derivative Securities Product:  
Equity
- Name of Underlying Instrument:  
PowerShares DWA SmallCap Technical Leaders Portfolio
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
Broad-based
- Ticker Symbol(s) of New Derivative Securities Product:  
DWAS
- Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  
Domestic Stock Exchanges
- Settlement Methodology of New Derivative Securities Product:  
Cash
- Position Limits of New Derivative Securities Product (if applicable):

**Part II Execution**

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:  
Susan Ameel

Title:  
Chief Regulatory Officer

Telephone Number:  
201-499-1064

Manual Signature of Official Responsible for Form:

Date:  
September 17, 2012

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	SEP 18 2012



SECURITIES AND EXCHANGE COMMISSION  
**RECEIVED**

SEP 13 2012

DIVISION OF TRADING & MARKETS

September 17, 2012

Ms. Gail Jackson  
Division of Trading and Markets  
Securities and Exchange Commission  
100 F Street, N.E.  
Washington, DC 20549

RE: Securities Exchange Act Forms 19b4-(e)

Dear Ms. Jackson:

Pursuant to Rule 19b-4(e) of the Securities Exchange act of 1934 ("Exchange Act"), enclosed please find one original and nine copies of a completed Form 19b4-(e), executed on behalf of the National Stock Exchange, Inc. ("NSX" or the "Exchange") for each of the following symbols which were recently approved for trading on the Exchange:

- |          |          |
|----------|----------|
| 1. RRGR  | 11. CROC |
| 2. ZDIV  | 12. HUSE |
| 3. ZMLP  | 13. QEH  |
| 4. FINU  | 14. MDIV |
| 5. FINZ  | 15. TDIV |
| 6. PFXF  | 16. BBRC |
| 7. SPFF  | 17. EMDD |
| 8. AMU   | 18. VIXH |
| 9. DWAS  | 19. ATL  |
| 10. GDAY | 20. FM   |

Please contact me if you have any questions on the enclosed materials.

Very truly yours,

Susan Ameal

Encls.

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	SEP 18 2012