

091-14098

For Internal Use Only  
Sec File No. 9-

Submit 1 Original  
and 9 Copies

OMB APPROVAL

SECURITIES AND EXCHANGE COMMISSION  
**RECEIVED**  
JUL 11 2012

DIVISION OF TRADING & MARKETS

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB Number: 3235-0504  
Expires: August 31, 2010  
Estimated average burden  
Hours per response. . . . . 3.60

**FORM 19b-4(e)**

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

**READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM**



**Part I**

**Initial Listing Report**

- 1. Name of Self-Regulatory Organization Trading New Derivative Securities Product:  
National Stock Exchange, Inc.
- 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
Trust
- 3. Class of New Derivative Securities Product:  
Equity
- 4. Name of Underlying Instrument:  
United States Metal Index Fund
- 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
Broad-based
- 6. Ticker Symbol(s) of New Derivative Securities Product:  
USMI
- 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  
Domestic Stock Exchanges
- 8. Settlement Methodology of New Derivative Securities Product:  
cash
- 9. Position Limits of New Derivative Securities Product (if applicable):

**Part II**

**Execution**

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the trading of the above-referenced new derivative securities product according to its relevant trading rules (including unlisted trading privileges), procedures and surveillance programs.

Name of Official Responsible for Form: Paul P. Smith

Title: Acting Chief Regulatory Officer

Telephone Number: (312) 786-7562

Manual Signature of Official Responsible for Form: *Paul P. Smith*

Date: July 10, 2012

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	JUL 11 2012



July 10, 2012

Ms. Gail Jackson  
Division of Trading and Markets  
Securities and Exchange Commission  
100 F Street, N.E.  
Washington, DC 20549

SECURITIES AND EXCHANGE COMMISSION

**RECEIVED**

JUL 11 2012

DIVISION OF TRADING & MARKETS

RE: Securities Exchange Act Forms 19b4-(e)

Dear Ms. Jackson:

Pursuant to Rule 19b4-(e) of the Securities Exchange act of 1934 ("Exchange Act"), enclosed please find one original and nine copies of a completed Form 19b4-(e), executed on behalf of the National Stock Exchange, Inc. ("NSX" or the "Exchange") for each of the following symbols which were recently approved for trading on the Exchange:

- |         |          |
|---------|----------|
| 1. COBO | 9. SNDS  |
| 2. DVYL | 10. USMI |
| 3. SDYL | 11. XOVR |
| 4. GIVE | 12. EMCD |
| 5. ALFA | 13. HECO |
| 6. GURU | 14. EMLP |
| 7. BNPC | 15. EUFX |
| 8. FBG  | 16. SDOG |

Please contact me if you have any questions on the enclosed materials.

Very truly yours,

Paul P. Smith

Encls.

<b>Act</b>	<b>Securities Exchange Act of 1934</b>
<b>Section</b>	<b>19b-4</b>
<b>Rule</b>	<b>19b-4(e)</b>
<b>Public Availability:</b>	<b>JUL 11 2012</b>