

091-13999

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UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549
AMENDED FORM 19b-4(e)

SECURITIES AND EXCHANGE COMMISSION

RECEIVED

APR 30 2012

Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934
DIVISION OF TRADING & MARKETS

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I

Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:

EDGX Exchange, Inc.

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):

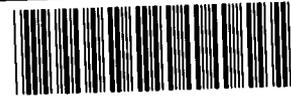
Van Eck Associates Corporation

3. Class of New Derivative Securities Product:

Investment Company Unit

4. Name of Underlying Instrument:

MOAT: Morningstar Wide Moat Focus Index



12005664

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based:

The 1 index referred to in item 4 above is Broad-Based

6. Ticker Symbol(s) of New Derivative Securities Product:

MOAT

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

MOAT: AMEX, ARCA, NASDAQ, NYSE

8. Settlement Methodology of New Derivative Securities Product:

T+3, Physical Settlement

9. Position Limits of New Derivative Securities Product (if applicable):

Not Applicable

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Thomas N. McManus

Title:

Chief Regulatory Officer

Telephone Number:

201-418-3471

Manual Signature of Official Responsible for Form:

TNM

Date:

Act Securities Exchange Act of 1934

25-Apr-12

Section 19b-4

Rule 19b-4(e)

Public Availability: APR 30 2012