

09L-1425A.W



12005520

For Internal Use Only
Sec File No. 9-

Submit 1 Original
and 4 copies

OMB APPROVAL
Number: 3235-0504

Expires July 31, 2004
Estimated average burden
hours per response . . 2.00

SECURITIES AND EXCHANGE COMMISSION
RECEIVED

MAR 13 2012

DIVISION OF TRADING & MARKETS

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549
AMENDED FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I

Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:

EDGA Exchange, Inc.

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):

Virtus Investment Advisers, Inc.

3. Class of New Derivative Securities Product:

Closed-End Fund

4. Name of Underlying Instrument:

VGI: Barclays Global Aggregate Bond Index

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based:

The 1 index referred to in item 4 above is Narrow-Based

6. Ticker Symbol(s) of New Derivative Securities Product:

VGI

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

VGI: AMEX, ARCA, NASDAQ, NYSE

(Please note, underlying components may trade on additional exchanges in countries/regions not mentioned here.)

8. Settlement Methodology of New Derivative Securities Product:

T+3, Physical Settlement

9. Position Limits of New Derivative Securities Product (if applicable):

Not Applicable

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Thomas N. McManus

Title:

Chief Regulatory Officer

Telephone Number:

201-419-3471

Manual Signature of Official Responsible for Form:

TNM Securities Exchange Act of 1934

Date:

Act 201-419-3471
Section 12-Mar-12
Rule 19b-4
19b-4(e)
Public Availability: MAR 13 2012