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 DIVISION OF TRADING & MARKETS

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
 Washington, D.C. 20549
FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:
NYSE Arca, Inc.

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):
Open-end Management Investment Company

3. Class of New Derivative Securities Product:
Investment Company Units

4. Name of Underlying Instrument:
S&P Hong Kong BMI Index

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:
Broad-Based

6. Ticker Symbol(s) of New Derivative Securities Product:
FHK

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:
HONG KONG, SINGAPORE, NASDAQ STOCK MARKET

8. Settlement Methodology of New Derivative Securities Product:
Regular way trades settle on T+3/Book entry only held in DTC.

9. Position Limits of New Derivative Securities Product (if applicable):
Not applicable.

Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:
Janet McGinness

Title:
Corporate Secretary

Telephone Number: (212) 656-2039	Act Securities Exchange Act of 1934
Manual Signature of Official Responsible for Form: <i>Janet McGinness</i>	Section 19b-4
February 29, 2012	Rule 19b-4(e)
SEC 2449 (1/99)	Public Availability. MAR 1 - 2012

Janet McGinness
Corporate Secretary

NYSE Arca, Inc.
11 Wall Street
New York, NY 10005



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DIVISION OF TRADING & MARKETS

tel: 212.656.2039
fax: 212.656.8101
jmcginness@nyx.com

Via Overnight Mail

February 29, 2012

Ms. Gail Jackson
US Securities and Exchange Commission
Division of Trading and Markets
Station Place – Building I
100 F Street, N.E. – Room 6628
Washington, DC 20549

Re: Form 19b-4(e)'s - First Trust (7 ETFs)

Dear Ms. Jackson:

Enclosed for filing pursuant to Section 19(b) of the Securities Exchange Act of 1934 and Rule 19b-4 thereunder, please find an original and nine copies of Form 19b-4(e) with respect to the following securities:

- | | |
|--|------|
| • First Trust Australia AlphaDEX Fund | FAUS |
| • First Trust United Kingdom AlphaDEX Fund | FKU |
| • First Trust Taiwan AlphaDEX Fund | FTW |
| • First Trust Hong Kong AlphaDEX Fund | FHK |
| • First Trust Switzerland AlphaDEX Fund | FSZ |
| • First Trust Germany AlphaDEX Fund | FGM |
| • First Trust Canada AlphaDEX Fund | FCAN |

If you have any questions, please do not hesitate to call me at (212) 656-2039.

Sincerely,

Enclosures

Securities Exchange Act of 1934	
Act	
Section	19b-4
Rule	19b-4(e)
Public Availability:	MAR 1 - 2012