

091-14100 T.W.

For Internal Use Only
Sec File No. 9-

Submit 1 Original
and 9 Copies

OMB APPROVAL	
OMB Number:	3235-0504
Expires:	August 31, 2010
Estimated average burden per response:	3.60

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 19b-4(e)



12005444

Information Required of a Self-Regulatory Organization Listing and Trading of
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I Initial Listing Report

- Name of Self-Regulatory Organization Listing New Derivative Securities Product:
BATS Exchange, Inc.
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):
See attached.
- Class of New Derivative Securities Product:
See attached.
- Name of Underlying Instrument:
See attached.
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:
See attached.
- Ticker Symbol(s) of New Derivative Securities Product:
See attached.
- Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:
See attached.
- Settlement Methodology of New Derivative Securities Product:
Trades locked in at Exchange and settled at NSCC.
- Position Limits of New Derivative Securities Product (if applicable):
Not applicable.

Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form: Anders Franzon

Title: VP, Associate General Counsel

Telephone Number: (913) 815-7154

Manual Signature of Official Responsible for Form:

Date: February 24, 2011

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability	FEB 27 2012

ATTACHMENT TO FORM 19b-4(e)
FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS EXCHANGE, INC.
COMMENCING ON FEBRUARY 16, 2012

<u>(6) Ticker Symbol</u>	<u>(2) Type of Issuer of NDSP</u>	<u>(3) Class of NDSP</u>	<u>(4) Name of Underlying Instrument</u>	<u>(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades</u>	<u>(5) Broad or Narrow</u>
DGAZ	Corporation	Index Linked Notes	S&P GSCI Natural Gas Index Excess Return	Various Global Markets	Broad
DOIL	Corporation	Index Linked Notes	S&P GSCI Brent Crude Index Excess Return	Various Global Markets	Broad
DWTI	Corporation	Index Linked Notes	S&P GSCI Crude Oil Index Excess Return	Various Global Markets	Broad
LCPR	Corporation	Index Linked Notes	S&P GSCI Copper Index Excess Return	Various Global Markets	Broad
SCPR	Corporation	Index Linked Notes	S&P GSCI Copper Index Excess Return	Various Global Markets	Broad
UGAZ	Corporation	Index Linked Notes	S&P GSCI Natural Gas Index Excess Return	Various Global Markets	Broad
UOIL	Corporation	Index Linked Notes	S&P GSCI Brent Crude Index Excess Return	Various Global Markets	Broad
UWTI	Corporation	Index Linked Notes	S&P GSCI Crude Oil Index Excess Return	Various Global Markets	Broad
SINF	Trust	Investment Company Units	Treasury Inflation-Protected Securities (TIPS) bond and Treasury notes	Various U.S. Markets	Narrow
UNIF	Trust	Investment Company Units	Treasury Inflation-Protected Securities (TIPS) bond and Treasury notes	Various U.S. Markets	Narrow
GNMA	Trust	Investment Company Units	Barclays Capital U.S. GNMA Bond Index	Various U.S. Markets	Broad

ATTACHMENT TO FORM 19b-4(e)
FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS EXCHANGE, INC.
COMMENCING ON FEBRUARY 16, 2012

<u>(6) Ticker Symbol</u>	<u>(2) Type of Issuer of NDSP</u>	<u>(3) Class of NDSP</u>	<u>(4) Name of Underlying Instrument</u>	<u>(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades</u>	<u>(5) Broad or Narrow</u>
FAUS	Trust	Investment Company Units	Defined Australia Index	Various Global Markets	Broad
FCAN	Trust	Investment Company Units	Defined Canada Index	Various Global Markets	Broad
FGM	Trust	Investment Company Units	Defined Germany Index	Various Global Markets	Broad
FHK	Trust	Investment Company Units	Defined Hong Kong Index	Various Global Markets	Broad
FKU	Trust	Investment Company Units	Defined United Kingdom Index	Various Global Markets	Broad
FSZ	Trust	Investment Company Units	Defined Switzerland Index	Various Global Markets	Broad
FTW	Trust	Investment Company Units	Defined Taiwan Index	Various Global Markets	Broad
FRAK	Trust	Investment Company Units	Market Vectors Unconventional Oil & Gas Index	Various Global Markets	Broad



RECEIVED
2012 FEB 27 PM 2:50
SEC 1 TM

February 24, 2012

Ms. Gail Jackson
Mail Stop 6628
Securities and Exchange Commission
100 F Street, N.E.
Washington, DC 20549

RE: Form 19b-4(e) – BATS Exchange, Inc.

Ms. Jackson,

On behalf of BATS Exchange, Inc., enclosed please find an executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding nineteen (19) derivative securities products that commenced trading on BATS Exchange on February 16, 2012. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely,

Anders Franzon
VP, Associate General Counsel
913.815.7154

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	FEB 27 2012