

091-13956 T.W.



12005297

For Internal Use Only
Sec File No. 9-

Submit 1 Original
and 4 copies

OMB APPROVAL
Number: 3235-0504

Expires July 31, 2004
Estimated average burden
hours per response . . 2.00

SECURITIES AND EXCHANGE COMMISSION
RECEIVED
FEB 22 2012
DIVISION OF TRADING & MARKETS

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549
AMENDED FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:
EDGX Exchange, Inc.

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):
First Trust Advisors L.P.

3. Class of New Derivative Securities Product:
Investment Company Unit

4. Name of Underlying Instrument:
**FGM: Defined Germany Index
FCAN: Defined Canada Index
FAUS: Defined Australia Index
FKU: Defined United Kingdom Index
FTW: Defined Taiwan Index
FHK: Defined Hong Kong Index
FSZ: Defined Switzerland Index**

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based:
The 7 indexes referred to in item 4 above are Broad-Based

6. Ticker Symbol(s) of New Derivative Securities Product:
FGM, FCAN, FAUS, FKU, FTW, FHK, FSZ

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:
**FGM: Germany, US
FCAN: Canada, US
FAUS: Australia, US
FKU: UK, US
FTW: Taiwan, US
FHK: Hong Kong, US
FSZ: Switzerland, US**
(Please note, underlying components may trade on additional exchanges in countries/regions not mentioned here.)

8. Settlement Methodology of New Derivative Securities Product:
T+3, Physical Settlement

9. Position Limits of New Derivative Securities Product (if applicable):
Not Applicable

Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:	Thomas N. McManus
Title:	Chief Regulatory Officer
Telephone Number:	201-418-3471
Manual Signature of Official Responsible for Form:	<i>TNM</i>
Date:	17-Feb-12

Availability: **FEB 22 2012**