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SECURITIES AND EXCHANGE COMMISSION
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UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549
AMENDED FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:
EDGA Exchange, Inc.

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):
First Trust Advisors L.P.

3. Class of New Derivative Securities Product:
Investment Company Unit

4. Name of Underlying Instrument:

FDTS: Defined Developed Markets Small Cap Index
FEMS: Defined Developed Markets Small Cap Index

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based:
The 2 indexes referred to in item 4 above are Broad-Based

6. Ticker Symbol(s) of New Derivative Securities Product:
FDTS, FEMS

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

FDTS: AMEX, ARCA, NASDAQ, NYSE
FEMS: AMEX, ARCA, NASDAQ, NYSE

(Please note, underlying components may trade on additional exchanges in countries/regions not mentioned here.)

8. Settlement Methodology of New Derivative Securities Product:
T+3, Physical Settlement

9. Position Limits of New Derivative Securities Product (if applicable):
Not Applicable

Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form: **Thomas N. McManus**
Title: **Chief Regulatory Officer**
Telephone Number: **201-418-3471**
Manual Signature of Official Responsible for Form: **TNM**
Date: **17-Feb-12**

Section **19b-4**
Rule **19b-4(e)**
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