

091-137628

For Internal Use Only
Sec File No. 9-

Submit 1 Original
and 4 copies

OMB APPROVAL
OMB Number: 3235-0504
Expires July 31, 2004
Estimated average burden
hours per response. . 2.00

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549
AMENDED FORM 19b-4(e)

SECURITIES AND EXCHANGE COMMISSION
RECEIVED

JAN 24 2012

DIVISION OF TRADING & MARKETS

Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I

Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:

EDGA Exchange, Inc.

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):

AdvisorShares Investments, LLC

3. Class of New Derivative Securities Product:

Investment Company Unit

4. Name of Underlying Instrument:

SSAM: Common Stocks



12005077

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based:

The 1 index referred to in item 4 above is N/A

6. Ticker Symbol(s) of New Derivative Securities Product:

SSAM

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

SSAM: AMEX, ARCA, NYSE, NASDAQ

8. Settlement Methodology of New Derivative Securities Product:

T+3, Physical Settlement

9. Position Limits of New Derivative Securities Product (if applicable):

Not Applicable

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Thomas N. McManus

Title: **Act** Securities Exchange Act of 1934

Chief Regulatory Officer

Telephone Number:

201-418-3471

Manual Section of Official Responsible for Form:

Date: **Rule** 19b-4(e)

19-Jan-12

Public
Availability:

JAN 24 2012



January 20, 2012

SECURITIES AND EXCHANGE COMMISSION
RECEIVED
JAN 24 2012
DIVISION OF TRADING & MARKETS

Gail S. Jackson
United States Securities and Exchange Commission
100 F St. NE Room 6628
Washington DC 20549

Re: Form 19b4-e filing for EDGA Exchange, Inc. and EDGX Exchange, Inc.

Dear Ms. Jackson;

Please find enclosed the following documents in reference to the above subject matter:

1. Five (5) signed originals and five (5) copies each of form 19b4-e's for EDGA Exchange, Inc.; and
2. Five (5) signed originals and five (5) copies each of form 19b4-e's for EDGX Exchange, Inc.

Please return a stamped "Filed" copy of each of the 19b4-e's. For your convenience, a self-addressed stamped envelope is included.

If you have any questions, please do not hesitate to contact me.

Best Regards,

Maria Bugarin
Paralegal
201-942-8236

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	JAN 24 2012