

091-1352

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UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

SECURITIES AND EXCHANGE COMMISSION
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JAN 24 2012

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

DIVISION OF TRADING & MARKETS

Part I Initial Listing Report

- Name of Self-Regulatory Organization Listing New Derivative Securities Product:
BATS Y-Exchange, Inc.
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):
See attached.
- Class of New Derivative Securities Product:
See attached.
- Name of Underlying Instrument:
See attached.
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:
See attached.
- Ticker Symbol(s) of New Derivative Securities Product:
See attached.
- Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:
See attached.
- Settlement Methodology of New Derivative Securities Product:
Trades locked in at Exchange and settled at NSCC.
- Position Limits of New Derivative Securities Product (if applicable):
Not applicable.



Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form: Anders Franzon

Title: VP, Associate General Counsel

Telephone Number: (913) 815-7154

Manual Signature of Official Responsible for Form:

Date: January 20, 2012

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	JAN 24 2012

ATTACHMENT TO FORM 19b-4(e)
 FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS Y-EXCHANGE, INC.
 PURSUANT TO UNLISTED TRADING PRIVILEGES COMMENCING ON JANUARY 18, 2012

<u>(6) Ticker Symbol</u>	<u>(2) Type of Issuer of NDSP</u>	<u>(3) Class of NDSP</u>	<u>(4) Name of Underlying Instrument</u>	<u>(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades</u>	<u>(5) Broad or Narrow</u>
EELV	Trust	Investment Company Units	S&P BMI Emerging Markets Low Volatility Index	Various Global Markets	Broad
IDLV	Trust	Investment Company Units	S&P BMI International Developed Low Volatility Index	Various Global Markets	Broad
SSAM	Trust	Investment Company Units	S&P 500 Index	Various U.S. Markets	Broad
GMFS	Trust	Investment Company Units	S&P Asia Pacific Emerging Under USD 2 Billion Index	Various Global Markets	Broad
EWHS	Trust	Investment Company Units	MSCI Hong Kong Small Cap Index	Various Global Markets	Broad
EWSS	Trust	Investment Company Units	MSCI Singapore Small Cap Index	Various Global Markets	Broad
URTH	Trust	Investment Company Units	MSCI World Index	Various Global Markets	Broad
FINF	Trust	Investment Company Units	30-year Treasury Inflation-Protected Securities (TIPS) bond and U.S. Treasury bonds	Various U.S. Markets	Broad
RINF	Trust	Investment Company Units	Dow Jones Credit Suisse 30-Year Inflation Breakeven Index	Various U.S. Markets	Broad
VLAT	Trust	Investment Company Units	S&P Latin America 40 Dynamic Rebalancing Risk Control Index	Various World Markets	Various World Markets
VSPR	Trust	Investment Company Units	S&P Composite 1500 Dynamic Rebalancing Risk Control Index	Various World Markets	Various World Markets

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 PURSUANT TO UNLISTED TRADING PRIVILEGES COMMENCING ON JANUARY 18, 2012

<u>(6)</u> <u>Ticker</u> <u>Symbol</u>	<u>(2) Type of</u> <u>Issuer of</u> <u>NDSP</u>	<u>(3) Class of NDSP</u>	<u>(4) Name of Underlying</u> <u>Instrument</u>	<u>(7) Market(s) upon</u> <u>Which Securities</u> <u>Comprising Underlying</u> <u>Instrument Trades</u>	<u>(5) Broad</u> <u>or</u> <u>Narrow</u>
VSPY	Trust	Investment Company Units	S&P 500 Dynamic Rebalancing Risk Control Index	Various World Markets	Various World Markets



SECURITIES AND EXCHANGE COMMISSION
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JAN 24 2012
DIVISION OF TRADING & MARKETS

January 20, 2012

Ms. Gail Jackson
Mail Stop 6628
Securities and Exchange Commission
100 F Street, N.E.
Washington, DC 20549

RE: Form 19b-4(e) – BATS Y-Exchange, Inc.

Ms. Jackson,

On behalf of BATS Y-Exchange, Inc., enclosed please find an executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding twelve (12) derivative securities products that commenced trading on BATS Y-Exchange on January 18, 2012. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely,

Anders Franzon
VP, Associate General Counsel
913.815.7154

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Section	19b-4
Rule	19b-4(e)
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