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DIVISION OF TRADING & MARKETS

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, D.C. 20549  
AMENDED FORM 19b-4(e)



11033015

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

**READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM**

**Part I Initial Listing Report**

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:

EDGX Exchange, Inc

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):

SSGA Funds Management Inc

3. Class of New Derivative Securities Product:

Investment Company Unit

4. Name of Underlying Instrument:

FLRN: Barclays Capital U.S. Floating Rate Note < 5 Years Index  
SST: Barclays Capital 1-5 Year U.S. Treasury Index

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based:

The 2 indexes referred to in item 4 above are Narrow-Based

6. Ticker Symbol(s) of New Derivative Securities Product:

FLRN, SST

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

FLRN: NYSE Arca  
SST: NYSE Arca

8. Settlement Methodology of New Derivative Securities Product:

T+3, Physical Settlement

9. Position Limits of New Derivative Securities Product (if applicable):

Not Applicable

**Part II Execution**

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Thomas N. McManus

Title:

Chief Regulatory Officer

Telephone Number:

Securities Exchange Act of 1934

201-418-3471

Manual Signature of Official Responsible for Form:

Date:

Section 19b-

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