

For Internal Use
Sec File No. 9

SECURITIES AND EXCHANGE COMMISSION
RECEIVED

DEC 12 2011

DIVISION OF TRADING & MARKETS

Submit 1 Original
and 4 copies

OMB APPROVAL
OMB Number: 3235-0504
Expires July 31, 2004
Estimated average burden
hours per response . . . 2.00

09-1-13646

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549
AMENDED FORM 19b-4(e)



Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:

EDGA Exchange, Inc

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):

Direxion Funds

3. Class of New Derivative Securities Product:

Investment Company Unit

4. Name of Underlying Instrument:

RETL: Russell 1000 Retail Index
RETS: Russell 1000 Retail Index

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based:

The 2 indexes referred to in item 4 above are Narrow-Based

6. Ticker Symbol(s) of New Derivative Securities Product:

RETL, RETS

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

RETL: AMEX, ARCA, NASDAQ, NYSE
RETS: AMEX, ARCA, NASDAQ, NYSE

8. Settlement Methodology of New Derivative Securities Product:

T+3, Physical Settlement

9. Position Limits of New Derivative Securities Product (if applicable):

Not Applicable

Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Thomas N. McManus

Title:

Chief Regulatory Officer

Telephone Number: Securities Exchange Act of 1934

204-418-3471

Manual Signature of Official Responsible for Form:

Section

Rule

19b-4(e)

9-Dec-11

Public
Availability:

DEC 12 2011