

For Internal Use Only  
Sec File No. 9

Submit 1 Original  
and 9 Copies

OMB APPROVAL

OMB Number: 3235-0504  
Expires: August 31, 2010  
Estimated average burden  
Hours per response.....3.60

RECEIVED  
2011 NOV 23 PM 7:21  
SEC / MR

091-13592jn

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I

Initial Listing Report



11032947

- Name of Self-Regulatory Organization Trading New Derivative Securities Product:  
National Stock Exchange, Inc.
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
Trust
- Class of New Derivative Securities Product:  
Equity
- Name of Underlying Instrument:  
KBW Capital Markets Index
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
Broad-based
- Ticker Symbol(s) of New Derivative Securities Product:  
KBWI
- Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  
Domestic Stock Exchange
- Settlement Methodology of New Derivative Securities Product:  
cash
- Position Limits of New Derivative Securities Product (if applicable):

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the trading of the above-referenced new derivative securities product according to its relevant trading rules (including unlisted trading privileges), procedures and surveillance programs.

Name of Official Responsible for Form: James C. Yong

Title: Chief Regulatory Officer

Telephone Number: (312) 786-8893

Manual Signature of Official Responsible for Form:

Date: November 21, 2011

Rule 19b-4(e)  
Public  
Availability: NOV 23 2011



James C. Yong  
Chief Regulatory Officer  
james.yong@nsx.com

RECEIVED  
2011 NOV 23 PM 6:58  
SEC / MR

November 21, 2011

Ms. Gail Jackson  
Division of Trading and Markets  
Securities and Exchange Commission  
100 F Street, N.E.  
Washington, DC 20549

RE: Securities Exchange Act Forms 19b4-(e)

Dear Ms. Jackson:

Pursuant to Rule 19b4-(e) of the Securities Exchange act of 1934 ("Exchange Act"), enclosed please find one original and nine copies of a completed Form 19b4-(e), executed on behalf of the National Stock Exchange, Inc. ("NSX" or the "Exchange") for each of the following symbols which were recently approved for trading on the Exchange:

- |          |          |          |
|----------|----------|----------|
| 1. XAR   | 16. UGLD | 31. AUD  |
| 2. XSW   | 17. DGLD | 32. KBWB |
| 3. XHS   | 18. USLV | 33. KBWR |
| 4. FXCH  | 19. DSLV | 34. KBWI |
| 5. UVXY  | 20. LPLT | 35. KBWC |
| 6. SVXY  | 21. IPLT | 36. XLBT |
| 7. TTFS  | 22. LPAL | 37. XLVO |
| 8. LSKY  | 23. IPAL | 38. XHMO |
| 9. SCLP  | 24. ACWV | 39. JGBS |
| 10. SGGG | 25. EEMV | 40. JGBD |
| 11. SCOG | 26. EFAV | 41. CAD  |
| 12. SCTR | 27. LEMB | 42. BUND |
| 13. BOIL | 28. USMV | 43. CPER |
| 14. KOLD | 29. SCHD | 44. SOCL |
| 15. CHLC | 30. DRGS | 45. IPFF |

Act	Securities Exchange Act of 1934
Please contact me if you have any questions on the enclosed materials.	
Section	19b-4
Rule	19b-4(e)
Public Availability:	NOV 23 2011

Very truly yours

James C. Yong

Encls.

One Financial Place  
440 South LaSalle Street Suite 2600  
Chicago Illinois 60605

Phone 312.786.8893  
Fax 312.939.7239  
www.nsx.com