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UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

### FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

#### Part I

#### Initial Listing Report



11032900

- Name of Self-Regulatory Organization Listing New Derivative Securities Product:  
BATS Y-Exchange, Inc.
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
See attached.
- Class of New Derivative Securities Product:  
See attached.
- Name of Underlying Instrument:  
See attached.
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
See attached.
- Ticker Symbol(s) of New Derivative Securities Product:  
See attached.
- Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  
See attached.
- Settlement Methodology of New Derivative Securities Product:  
Trades locked in at Exchange and settled at NSCC.
- Position Limits of New Derivative Securities Product (if applicable):  
Not applicable.

#### Part II

#### Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form: Anders Franzon

Title: VP, Associate General Counsel

Telephone Number: (913) 815-7154

Manual Signature of Official Responsible for Form:

Date: November 14, 2011

|               |                                 |
|---------------|---------------------------------|
| Act           | Securities Exchange Act of 1934 |
| Section       | 19b-4                           |
| Rule          | 19b-4(e)                        |
| Public        |                                 |
| Availability: | NOV 16 2011                     |

ATTACHMENT TO FORM 19b-4(e)  
 FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS Y-EXCHANGE, INC.  
 PURSUANT TO UNLISTED TRADING PRIVILEGES COMMENCING ON NOVEMBER 8, 2011

| <b>(6)<br/>Ticker<br/>Symbol</b> | <b>(2) Type of<br/>Issuer of<br/>NDSP</b> | <b>(3) Class of NDSP</b>    | <b>(4) Name of Underlying<br/>Instrument</b>                           | <b>(7) Market(s) upon<br/>Which Securities<br/>Comprising Underlying<br/>Instrument Trades</b> | <b>(5) Broad<br/>or<br/>Narrow</b> |
|----------------------------------|---|-----------------------------|--|--|------------------------------------|
| XHMO                             | Trust                                     | Investment Company<br>Units | Russell-Axioma Developed ex-<br>U.S. Large Cap High<br>Momentum Index  | Various U.S. Markets   | Broad                              |
| XLBT                             | Trust                                     | Investment Company<br>Units | Russell-Axioma Developed ex-<br>U.S. Large Cap Low Beta<br>Index       | Various U.S. Markets   | Broad                              |
| XLVO                             | Trust                                     | Investment Company<br>Units | Russell-Axioma Developed ex-<br>U.S. Large Cap Low Volatility<br>Index | Various U.S. Markets   | Broad                              |



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November 14, 2011

Ms. Gail Jackson  
Mail Stop 6628  
Securities and Exchange Commission  
100 F Street, N.E.  
Washington, DC 20549

**RE: Form 19b-4(e) – BATS Y-Exchange, Inc.**

Ms. Jackson,

On behalf of BATS Y-Exchange, Inc., enclosed please find an executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding three (3) derivative securities products that commenced trading on BATS Y-Exchange on November 8, 2011. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely,

Anders Franzon  
VP, Associate General Counsel  
913.815.7154

|                             |                                 |
|-----------------------------|---------------------------------|
| <b>Act</b>                  | Securities Exchange Act of 1934 |
| <b>Section</b>              | 19b-4                           |
| <b>Rule</b>                 | 19b-4(e)                        |
| <b>Public Availability:</b> | NOV 16 2011                     |