

091-13378 *jm*

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**UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549  
FORM 19b-4(e)**

**Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934**

**READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM**

**Part I Initial Listing Report**

**1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:**

NYSE Arca, Inc.



11032730

**2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc)**

Corporation

**3. Class of New Derivative Securities Product:**

Index-Linked Securities

**4. Name of Underlying Instrument:**

Russell U.S. Small Cap Low P/E Index

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**5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:**

Broad-Based

OCT 9 12 011

**6. Ticker Symbol(s) of New Derivative Securities Product:**

SCLP

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**7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:**

NYSE, NYSE Amex, Nasdaq

**8. Settlement Methodology of New Derivative Securities Product:**

Regular way trades settle on T+3/Book entry only held in DTC.

**9. Position Limits of New Derivative Securities Product (if applicable):**

Not applicable.

**Part II Execution**

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Janet McGinness

Title:

Corporate Secretary

Telephone Number:

(212) 656-2920

Act Securities Exchange Act of 1934

Section 19b-4

Rule 19b-4(e)

Manual Signature of Official Responsible for Form:

*Janet McGinness*

Public Availability: OCT 31 2011

October 28, 2011

Janet McGinness  
Corporate Secretary

NYSE Arca, Inc.  
11 Wall Street  
New York, NY 10005



tel: 212.656.2039  
fax: 212.656.8101  
jmcginness@nyx.com

**Via Overnight Mail**

October 28, 2011

Ms. Gail Jackson  
US Securities and Exchange Commission  
Division of Trading and Markets  
Station Place – Building I  
100 F Street, N.E. – Room 6628  
Washington, DC 20549

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DIVISION OF TRADING & MARKETS

**Re: Forms 19b-4(e) – Russell Small Cap Low P/E ETF; Russell Small Cap Consistent Growth ETF; Russell Small Cap Contrarian ETF; Russell Small Cap Aggressive Growth ETF**

Dear Ms. Jackson:

Enclosed for filing pursuant to Section 19(b) of the Securities Exchange Act of 1934 and Rule 19b-4 thereunder, please find an original and nine copies of Form 19b-4(e) with respect to the following security:

- Russell Small Cap Low P/E ETF SCLP
- Russell Small Cap Consistent Growth ETF SCOG
- Russell Small Cap Contrarian ETF SCTR
- Russell Small Cap Aggressive Growth ETF SGGG

If you have any questions, please do not hesitate to call me at (212) 656-2039.

Sincerely,

Enclosures

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	OCT 31 2011