

091-13375 gm

For Internal Use
Only
Sec File No. 9-

Submit 1 Original
and 9 Copies

OMB APPROVAL
OMB Number: 3235-0504
Expires: August 31, 2010
Estimated average burden
hours per response.....3.60

**UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

FORM 19b-4(e)

**Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934**

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM



11032727

Part I Initial Listing Report

- Name of Self-Regulatory Organization Listing New Derivative Securities Product:**
NASDAQ OMX BX, Inc. (traded pursuant to unlisted trading privileges)
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):**
Corporation
- Class of New Derivative Securities Product:**
Index Linked Note
- Name of Underlying Instrument:**
S&P GSCI Platinum Index
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:**
Narrow-based
- Ticker Symbol(s) of New Derivative Securities Product:**
LPLT
- Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:**
Listed on: CME Group
- Position Limits of New Derivative Securities Product (if applicable):**
Regular way trades settle on T + 3 (cash settled)
- Position Limits of New Derivative Securities Product (if applicable):**
N/A

DIVISION OF TRADING & MARKETS
OCT 27 2011
RECEIVED
SECURITIES AND EXCHANGE COMMISSION

Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:
Joan Conley

Title:
Secretary

Telephone Number:
301-978-8735

Manual Signature of Official Responsible for Form:

Date: **October 21, 2011**

Joan Conley

Act Under the Securities Exchange Act of 1934

Section 19b-4
Rule 19b-4(e)

Public Availability: **OCT 27 2011**