

091-13367

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**OMB APPROVAL**  
OMB Number: 3235-0504  
Expires: August 31, 2010  
Estimated average burden hours per response.....3.60

**UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

**FORM 19b-4(e)**

**Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934**

**READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM**



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**Part I**

**Initial Listing Report**

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:  
**The NASDAQ Stock Market LLC (traded pursuant to unlisted trading privileges)**

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
**Corporation**

3. Class of New Derivative Securities Product:  
**Index Linked Note**

4. Name of Underlying Instrument:  
**S&P GSCI Platinum Index**

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
**Narrow-based**

6. Ticker Symbol(s) of New Derivative Securities Product:  
**IPLT**

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7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  
**Listed on: CME Group**

8. Position Limits of New Derivative Securities Product (if applicable):  
**Regular way trades settle on T + 3 (cash settled)**

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9. Position Limits of New Derivative Securities Product (if applicable):  
**N/A**

DIVISION OF TRADING & MARKETS

**Part II**

**Execution**

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:  
**Michael Emen**

Title:  
**Senior Vice President, LQ**

Telephone Number:  
**301-978-8020**

Manual Signature of Official Responsible for Form:

Date: **October 21, 2011**

**Act** Securities Exchange Act of 1934  
**Section** 19b-4  
**Rule** 19b-4(e)  
**Public Availability:** OCT 27 2011