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UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549
AMENDED FORM 19b-4(e)



Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:
EDGX Exchange, Inc

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):
FFCM LLC

3. Class of New Derivative Securities Product:
Investment Company Unit

4. Name of Underlying Instrument:

CHEP : Dow Jones U.S. Thematic Market Neutral Momentum Index;
BTAH : Dow Jones U.S. Thematic Market Neutral Beta Index;
BTAL : Dow Jones U.S. Thematic Market Neutral Anti-Beta Index

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based:
The 3 indexes referred to in item 4 above are Narrow-Based

6. Ticker Symbol(s) of New Derivative Securities Product:
CHEP , BTAH , BTAL

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

CHEP : ARCA, AMEX, NASDAQ, NYSE;
BTAH : ARCA, AMEX, NASDAQ, NYSE;
BTAL : ARCA, AMEX, NASDAQ, NYSE

8. Settlement Methodology of New Derivative Securities Product:
T+3, Physical Settlement

9. Position Limits of New Derivative Securities Product (if applicable):
Not Applicable

Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form: Thomas N. McManus
Title: Chief Regulatory Officer
Telephone Number: 201-418-3471
Manual Signature of Official Responsible for Form: *Thomas N. McManus*
Date: 20-Sep-11

Section 19b-4
Rule 19b-4(e)
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